

Genetic Organization of the *Escherichia coli* K10 Capsule Gene Cluster: Identification and Characterization of Two Conserved Regions in Group III Capsule Gene Clusters Encoding Polysaccharide Transport Functions

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Received 9 November 1998/Accepted 14 January 1999

Analysis of the *Escherichia coli* K10 capsule gene cluster identified two regions, regions 1 and 3, conserved between different group III capsule gene clusters. Region 1 encodes homologues of KpsD, KpsM, KpsT, and KpsE proteins, and region 3 encodes homologues of the KpsC and KpsS proteins. An *rfaH* mutation abolished K10 capsule production, suggesting that expression of the K10 capsule was regulated by RfaH in a manner analogous to group II capsule gene clusters. An IS3 element and a ϕ R73-like prophage, both of which may have played a role in the acquisition of group III capsule gene clusters, were detected flanking the K10 capsule genes.

Escherichia coli synthesizes at least 80 distinct capsular polysaccharides on the cell surface (22). These capsules have been classified into three groups based on biochemical and genetic criteria (17, 29). Group I capsules are heat-stable, high-molecular-weight polysaccharides with a low charge density. The reducing ends of some group I capsules are associated with lipid A-core of lipopolysaccharide, although this association is not essential for surface expression (20, 47). The genetic determinants for group I capsules map near *his* on the *E. coli* chromosome (25). Group II capsules are heat labile, have a high charge density, and have a lower molecular weight than those of group I (17). Phosphatidic acid is bound to the reducing ends of group II capsules and may anchor these polysaccharides to the cell surface (17, 38). The genetic locus for group II capsules has been mapped near *serA* at 64 min on the *E. coli* chromosome (24, 26, 45). Unlike group I capsules, those of group II are temperature regulated, with expression occurring only above 20°C (17) and with high levels of CMP-3-keto-3-deoxy-manno-octulosonate (CMP-KDO) synthetase activity at capsule-permissive temperatures (10, 11). This coincides with the detection of KDO at the reducing end of certain group II polysaccharides (18). Group III capsules (formerly group I/II) are also located near *serA* and have the same general characteristics as those of group II. However, in contrast to group II, group III strains express polysaccharide at all growth temperatures and do not have elevated levels of CMP-KDO synthetase (10, 23, 29).

Molecular cloning and analysis of gene clusters involved in biosynthesis of group II capsules has revealed a common segmental organization comprising three distinct regions (35, 36). Regions 1 and 3 are conserved among all group II strains analyzed. These regions contain the genes *kpsFEDUCS* and *kpsMT*, respectively, and encode functions involved in maturation and export of the polysaccharide (2, 27, 28, 41). Region 2, which is positioned between regions 1 and 3, is serotype

specific and encodes proteins involved in synthesis of the polymer (31).

The capsule gene clusters of group III strains, K10 and K54, have been cloned (29, 37). DNA probes derived from the cloned K10 genes hybridize to chromosomal DNA from group III strains, K3, K54, and K98, but not to DNA from group I or group II strains (29). In addition, within group III strains, the hybridization patterns indicate a segmental gene organization analogous to that found in group II capsule clusters in which a serotype-specific region is flanked by conserved genes (29). Although there appears to be no overall nucleotide homology between the group III and group II capsule clusters, complementation of mutations in genes encoding proteins for the export of group II capsules by cloned K10 and K54 genes reveals that there are functionally conserved steps in the export of group II and group III capsular polysaccharides (29). Partial DNA sequencing of the K54 capsule gene cluster revealed that homologues of some of the group II genes are included but that these genes are arranged differently to their counterparts in group II clusters (37).

We now report the nucleotide sequence of two regions (termed 1 and 3) of the *E. coli* K10 capsular gene cluster which are conserved amongst group III capsule gene clusters and which encode functions for the export of group III polysaccharides. Region 1 contains four genes encoding homologues of the group II region 1 and 3 proteins KpsD, KpsE, KpsM, and KpsT. Region 3 is composed of two genes which encode homologues of the group II region 1 proteins KpsC and KpsS. We demonstrate that the K10 gene cluster is regulated by RfaH and provide evidence suggesting that the group III capsule determinants may have been positioned under the control of the RfaH-regulated promoter through an IS110-mediated insertion into a group II capsule cluster. Finally, we identify the presence of IS3 and ϕ R73-like prophage genes flanking the K10 capsule gene cluster, which may have played a role in the acquisition of capsule gene clusters at this chromosomal location.

Nucleotide sequence analysis of regions 1 and 3. The cosmid clone pRP1 (Fig. 1A) contains the entire K10 capsular gene cluster in addition to flanking DNA not involved in capsule synthesis (29). DNA sequencing was performed on subclones of pRP1 which harbor DNA flanking the serotype-specific re-

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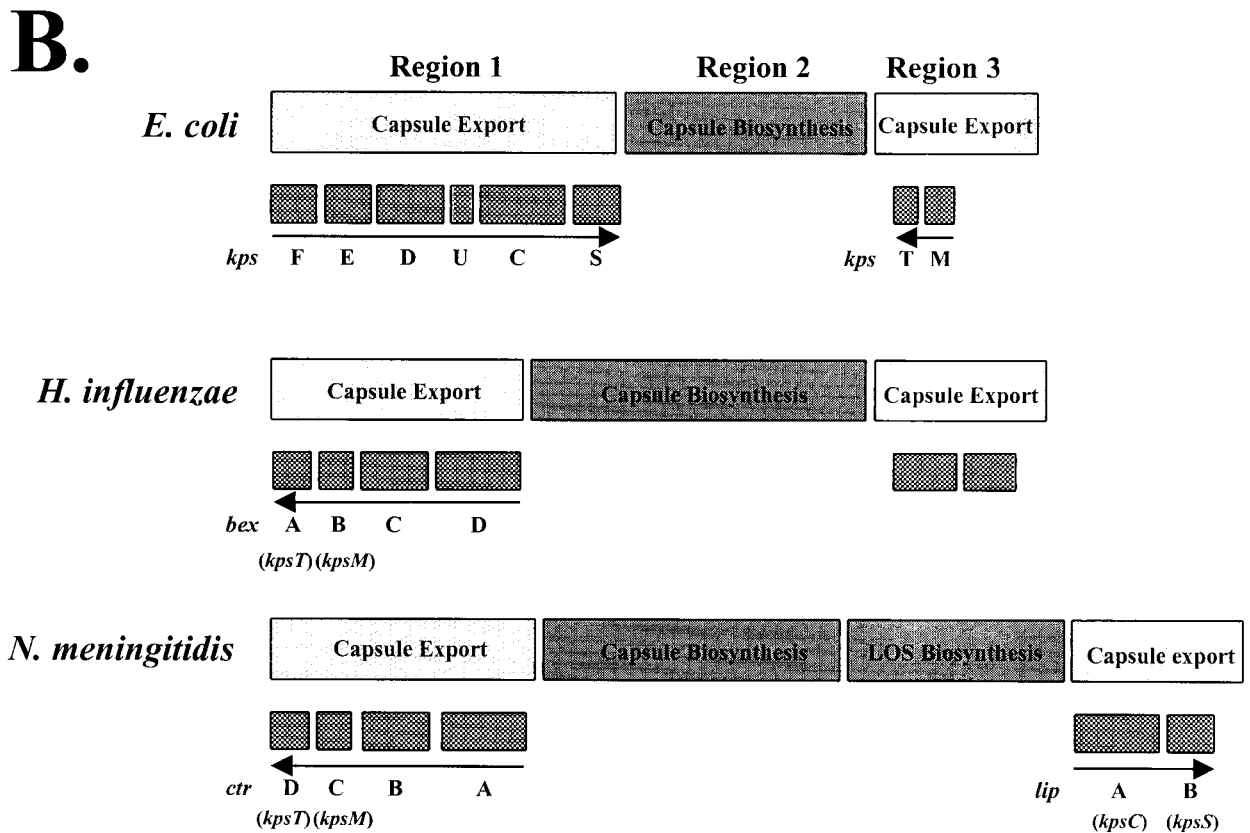
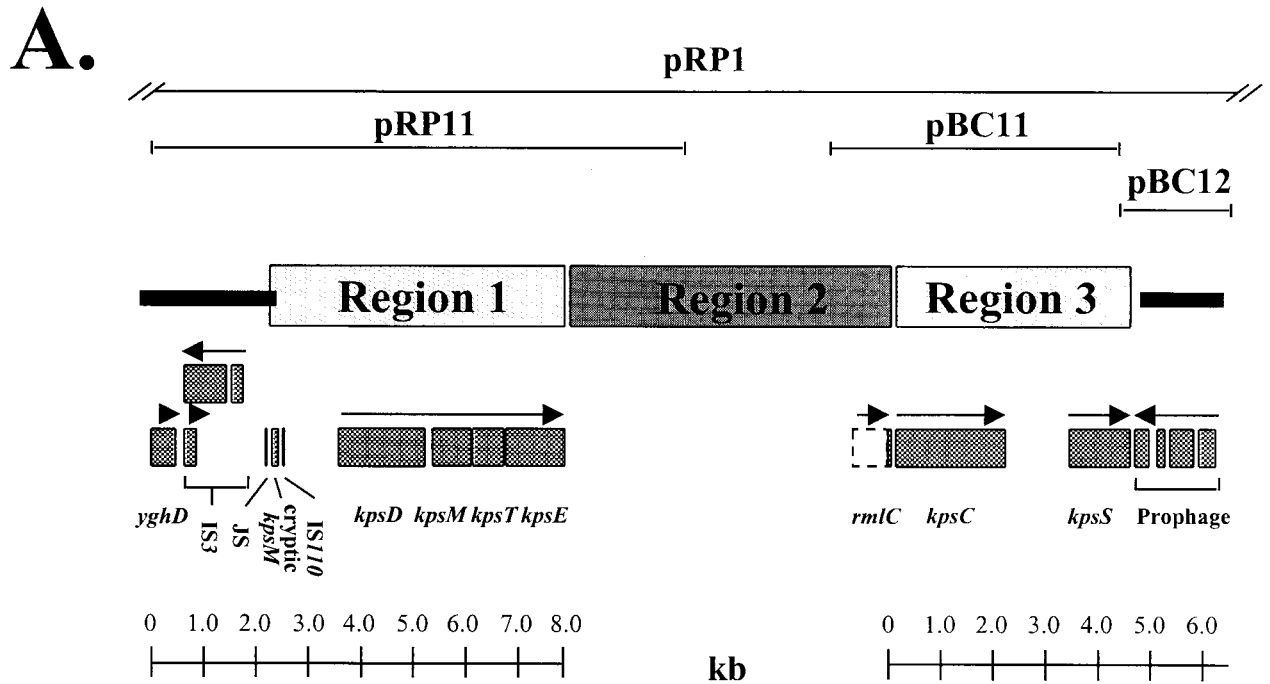


FIG. 1. (A) Scale diagram of the *E. coli* K10 capsule genes and flanking sequences. Recombinant plasmids used in this study are depicted as thin lines at the top. Genes within the K10 capsule cluster lie below regions 1, 2, and 3, whereas genes flanking the cluster lie below the thick lines. Arrows show direction of transcription. A scale is shown at the bottom. JS, JUMPstart sequence. (B) Diagram (not to scale) comparing the general organization of the capsule gene clusters of *E. coli* K5, *H. influenzae*, and *N. meningitidis*. *E. coli* *kps* homologues to *H. influenzae* and *N. meningitidis* are shown in parentheses under the corresponding genes.

TABLE 1. Degree of amino acid homology between the putative proteins of the *E. coli* K10 capsule cluster and their homologues in *E. coli* K5, *H. influenzae*, and *N. meningitidis*

<i>E. coli</i> K10 protein	Function ^a	Homologue and homology (% identity)		
		<i>E. coli</i> K5	<i>H. influenzae</i>	<i>N. meningitidis</i>
KpsD	Periplasmic protein	KpsD, 34.6		
KpsM	ABC-transporter (membrane component)	KpsM, 39.7	BexB, 28.2	CtrC, 27.5
KpsT	ABC transporter (ATP-binding component)	KpsT, 45.7	BexA, 46.5	CtrD, 45.8
KpsE	Polysaccharide export protein	KpsE, 30.0		
KpsC	Polysaccharide modification protein	KpsC, 34.3		LipA, 39.9 ^b
KpsS	Polysaccharide modification protein	KpsS, 87.2		LipB, 38.5

^a ABC, ATP-binding cassette.

^b LipA is homologous to amino acids 197 to 593 of KpsC_{K10}.

gion identified previously (29). Sequencing of the 5' end of the cluster (pRP11) identified four open reading frames (ORFs) with homology to the *E. coli* K5 genes *kpsD*, *kpsM*, *kpsT*, and *kpsE* (Fig. 1A). These ORFs are arranged on the K10 chromosome in the same order as their homologues recently identified in the *E. coli* group III serotype K54 (37). This organization is clearly different from that in group II strains, where *kpsD* and *kpsE* are present in region 1 within the operon *kpsFEDUCS* and where *kpsM* and *kpsT* together constitute region 3 (Fig. 1B) (34). The organization of the group III capsule cluster resembles those of *Haemophilus influenzae* and *Neisseria meningitidis*, in which the *kpsT*, *kpsM*, and *kpsE* homologues (*bexA/ctrD*, *bexB/ctrC*, and *bexC/ctrB*) (13–15, 19) are arranged together in a single transcriptional unit (Fig. 1B). However, in these cases the order of genes is different and there is no *kpsD* homologue (Fig. 1B). The levels of amino acid homology between the encoded K10 polypeptides and their homologues in *E. coli* K5, *H. influenzae*, and *N. meningitidis* are presented in Table 1. KpsD_{K10}, KpsM_{K10}, KpsT_{K10}, and KpsE_{K10} are very similar to their K54 counterparts, with only 3 amino acid substitutions each in KpsD and KpsT, 1 substitution in KpsM, and 18 substitutions in KpsE (data not shown). The DNA sequence of the K10 and K54 capsule gene clusters is 99% identical over the *kpsDMTE* coding region, which suggests that region 1 may have been acquired as a block into *E. coli* K10 and K54 from a common ancestor. The average G+C content of the *kpsDMTE* coding sequences is 39%, which is significantly lower than the average of 51% for the *E. coli* K-12 genome (1) and supports the probability of lateral gene transfer. It is notable that the G+C content of region 1 of the group III capsule genes more closely resembles that of the *H. influenzae* genome (37%) than that of regions 1 and 3 of the group II capsule gene clusters (46%) (28). This suggests that region 1 of the group III capsule gene clusters may have been acquired by a different route from that of the group II capsule genes.

Although there is a high degree of nucleotide homology between *kpsE*_{K10} and *kpsE*_{K54}, this homology ceases immediately 3' of the coding sequence. Analysis of this 3' sequence failed to identify any ORFs with homology to those in the available databases. Likewise, DNA sequence data within 1.3 kb 3' of *kpsE*_{K54} also failed to identify any ORFs that encode capsule export or synthesis functions (37). It is likely that this long intergenic region defines the junction between the conserved group III capsule region 1 genes and the variable region 2, which is involved in polymer synthesis. Interestingly, this DNA also has a low G+C (25%) content, typical of genes, present within capsule gene clusters, that encode enzymes for polysaccharide biosynthesis (33).

Nucleotide sequence analysis of subclones, pBC11 and pBC12, containing DNA 3' to the serotype-specific region (Fig.

1A) identified two ORFs encoding polypeptides with homology to region 1 proteins of *E. coli* K5. An ORF of 2,147 bp encodes a polypeptide with limited homology to KpsC_{K5} (Fig. 1A; Table 1). Plasmid pBC11 can restore K5 capsule expression when supplied in *trans* in a *kpsC*_{K5} mutant (results not shown). This functional and amino acid homology suggests that this ORF encodes the K10 equivalent of KpsC_{K5}. A second ORF of 1,419 bp encodes a polypeptide with 87.2% identity to KpsS_{K5} over 389 amino acids (Fig. 1; Table 1). However, compared with KpsS_{K5}, KpsS_{K10} contains an additional 17 amino acids at its C terminus. The *kpsC*_{K10} and *kpsS*_{K10} genes contain 43.8 and 41.3% G+C, respectively. The nucleotide sequence of the region immediately 3' to *kpsS*_{K10} (1,707 bp) contains an average of 51% G+C, typical for the *E. coli* K-12 genome (1). The low G+C content of *kpsC*_{K10} and *kpsS*_{K10} indicates that they may have been acquired by lateral gene transfer from another organism with low G+C content. However, the G+C content of *kpsC*_{K10} and *kpsS*_{K10} is higher than that of the K10 region 1 genes and indicates that region 1 and region 3 may have been acquired separately. The *kpsC*_{K10} and *kpsS*_{K10} genes are separated by an intergenic region of 1,170 bp. In contrast, the distance between *kpsC* and *kpsS* is 134 bp in *E. coli* K5 (28). The relatively large distance between *kpsC*_{K10} and *kpsS*_{K10} might reflect the acquisition of each of these genes separately. Alternatively, this *kpsC*-*kpsS* intergenic region may contain elements which function in maintenance or regulation of the K10 capsule *in vivo*. It is notable that this intergenic sequence has an extremely low G+C content of 28.1%, the significance of which is unclear. The arrangement of *kpsC* and *kpsS* genes in a separate region in the group III capsule cluster is the same as that for their homologues, *lipA* and *lipB*, respectively, in *N. meningitidis* (Fig. 1B).

The DNA sequence analysis performed in this study failed to identify an ORF corresponding to *kpsU* in either region 1 or 3 of the K10 capsule gene cluster. The absence of *kpsU* is consistent with the lack of elevated levels of CMP-KDO synthetase found in group III-expressing strains (18). The identification of homologues to the KpsC and KpsS proteins is in keeping with the observation that phosphatidyl-KDO has been detected at the reducing end of the K10 polysaccharide (39) and would be in agreement with the suggested role of the KpsC and KpsS proteins in the addition of phosphatidyl-KDO to the reducing end of polysaccharide (34). The lack of a capsule-specific CMP-KDO synthetase enzyme would suggest that the CMP-KDO for group III capsule expression is supplied by the KdsB enzyme (11, 28). The KpsC protein of *E. coli* K5 contains two repeated domains, and it has been suggested that these arose from a gene duplication resulting in the fusion of two homologous proteins (32). It is speculated that these domains either represent two active sites acting on similar substrates or represent a single active site involving two domains. Analysis of

the amino acid sequence of KpsC_{K10} reveals the presence of these repeated domains, where the N-terminal domain (amino acids 65 to 365) exhibits 30% homology to the C-terminal domain (amino acids 428 to 682). Therefore, although the overall amino acid homology of 35% suggests considerable divergence between KpsC_{K10} and KpsC_{K5}, the retention of these repeated domains indicates a common KpsC progenitor and perhaps an important functional requirement for these domains. In addition to the absence of KpsU, the K10 capsule cluster does not appear to encode a homologue of the group II region 1 protein, KpsF. This protein is not essential for group II capsule biosynthesis (6, 40), and the significance of its absence from the K10 cluster is not apparent.

A partial ORF encoding 37 amino acids with 38 to 48% identity to the C terminus of RmlC homologues from numerous bacteria (data not shown) was identified 50 bp from the start of *kpsC*_{K10} (Fig. 1A). The *rmlC* gene encodes dTDP-4-keto-6-deoxy-D-glucose-3,5-epimerase, which is required for synthesis of an intermediate in the dTDP-rhamnose pathway (47). The *E. coli* K10 capsule contains rhamnose as one of its component sugars (39), and so this RmlC homologue is probably involved in the synthesis of dTDP-rhamnose required for biosynthesis of the K10 polymer. Therefore, *rmlC*_{K10} defines the boundary between the serotype-specific region 2 and a conserved region 3 involved in capsule export.

Analysis of the nucleotide sequence 5' of region 1 and the regulation of the K10 capsule gene cluster by RfaH. DNA sequence obtained 5' to *kpsD*_{K10} (Fig. 2) revealed the presence of a JUMPstart motif located 1,326 bp from *kpsD*_{K10}. The JUMPstart motif is found 5' of numerous virulence-associated operons and appears to be required for RfaH-dependent transcriptional antitermination (16, 21, 42). For group II capsule gene clusters, it has been suggested that RfaH and the JUMPstart sequence together allow transcription from the region 3 promoter to override rho-dependent terminators and permit transcription to run through into region 2 (42). A mutation in *rfaH* results in termination of the transcript upstream of region 2, thus preventing capsule synthesis. The transcriptional start site 5' of region 3 in *E. coli* K5 has been mapped to 741 bp 5' to the ATG of *kpsM* (42). While the transcription start site 5' to region 1 in the K10 capsule gene cluster has not been identified, the sequence surrounding the transcriptional start site in K5 is highly homologous to the equivalent sequence in K10 (Fig. 2), suggesting that transcription may be initiated at the same point in both group II and group III capsule gene clusters. Although the K10 region 1 promoter region is highly homologous to the K5 region 3 promoter, the K10 sequence contains a deletion relative to the K5 sequence (Fig. 2). The location of this deletion positions the JUMPstart sequence 531 bp closer to the putative transcriptional start site relative to that in K5 (Fig. 2). The significance of this deletion in the regulation of K10 capsule gene expression is not clear. However, to determine if the K10 capsule cluster is RfaH regulated, plasmid pRP1 (Fig. 1A) was introduced into the *E. coli* K-12 strain JM101 and its *rfaH* mutant, MS135 (42), and K10 capsule production was monitored by measuring the sensitivity to K10-specific bacteriophage. Strain JM101(pRP1) expressed a K10 capsule and was sensitive to bacteriophage, whereas strain MS135(pRP1) was bacteriophage resistant, indicating a lack of K10 capsule. This demonstrates that the K10 capsule cluster is RfaH regulated. It can be inferred, by analogy to *E. coli* K5, that transcription from the K10 region 1 promoter proceeds through region 1 into region 2. The presence of a JUMPstart sequence 5' to K54 region 1 implies that the K54 capsule is also RfaH regulated (37). However a putative rho-independent terminator, which could possibly prevent transcription from re-

gion 1 running into region 2 even with a functional RfaH, has been identified immediately 3' to *kpsE*_{K54} (37). This putative terminator is not present in the K10 sequence.

The region of nucleotide homology between the K5 and K10 region 1 promoter regions extends approximately 190 bp into the coding sequence of the K5 *kpsM* gene (Fig. 2). This DNA sequence is identical to the corresponding region in K54 (37) but does not show significant homology to the functional *kpsM*_{K10} and *kpsM*_{K54} genes located 3' to *kpsD* (Fig. 1A). The fact that the cryptic *kpsM* gene shows homology to *kpsM*_{K5} but not to the group III *kpsM* genes suggests that the group II and III promoter regions were acquired from a common ancestor expressing a group II capsule. Russo et al. (37) have suggested that the group III capsule cluster was derived from a group II cluster by insertion of foreign capsule genes 3' of the start of the *kpsM* gene. As a consequence, the group III region 1 genes may be transcribed from the group II region 3 promoter. However, one must be cautious, since it is known that the region 3 promoter of the K5 capsule gene cluster is thermoregulated (36a) whereas group III capsules are expressed at all growth temperatures (18). As such, it is possible that the group III region 1 promoter is located between the cryptic *kpsM* gene and the *kpsD*_{K10} gene (Fig. 1) or that the deletions in the group III promoter region abolish temperature regulation. The regulation of both group II and III capsule gene clusters by RfaH may imply that RfaH regulation of capsule expression is an evolutionary advantage to pathogenic *E. coli* strains.

The locations of any region 3 promoters remain to be elucidated. The close proximity of *rfaH*_{K10} and *kpsC*_{K10} implies that either promoter-transcribing region 3 lies within region 2 or expression of group III capsule genes occurs from a single promoter 5' to region 1 which generates a single large transcript spanning the capsule gene cluster. Such a transcriptional organization would be consistent with that seen for many other RfaH-regulated operons (21). It is possible, however, that transcription of *kpsS*_{K10} is initiated from a promoter within the *kpsC*-to-*kpsS* intergenic region.

Detection of IS110 and IS3 5' to the K10 capsule gene cluster. It has been postulated that mobilization of the group III determinants into the progenitor group II strain was mediated by IS110 (37). A remnant of IS110 from *Streptomyces coelicolor* (4) is present 53 bp 3' to the cryptic *kpsM* gene in K54 (37) and in K10 (Fig. 2). In addition to the IS110 sequence, a region with 99% homology to IS3 (44) was identified 5' to the K10 JUMPstart sequence (Fig. 2). Three ORFs were identified within the IS3 sequence (Fig. 1A). This IS element was not identified in the reported K54 sequence and would appear to be specific to the K10 capsule gene cluster. IS elements have been implicated in the duplication of genes in the group I capsule locus of *E. coli* K30 (8) and have been found near the capsule genes of *Klebsiella pneumoniae* (46). In addition, remnants of IS600 and IS630 elements may have been involved in lateral transfer of a pathogenicity island into enteropathogenic and enterohemorrhagic *E. coli* (7, 30). Conceivably, a block of capsule genes could be mobilized through transposition if they were flanked by IS elements. Although numerous IS3 elements are present on the *E. coli* chromosome (1), we have not identified a second IS3 element within or flanking the K10 capsule gene cluster. However, a second flanking IS3 element could have been lost through subsequent recombination events. Alternatively, capsule genes could be transferred by homologous recombination between IS elements located in *E. coli* and in DNA from another organism.

Identification of a cryptic prophage 3' to region 3. DNA sequence analysis of the region 3' to region 3 (Fig. 1A) revealed four contiguous ORFs with homology to those which

adjacent to one of the *att* sites. An integrase homologue was not identified among the four ORFs sequenced. However, one may be present within the unsequenced region distal to the K10 cluster. Therefore, transduction of the K10 locus cannot be ruled out, due to the possibility of unidentified *att* repeats flanking the capsule genes.

Localization of the K10 capsule gene cluster on the *E. coli* chromosome. The K10 sequence from bp 1 to 530 encodes a polypeptide with 93% amino acid identity (95% similarity) to the YghD protein of *E. coli* K-12 (1) (Fig. 1A). This protein is presumed to be an M-type component of a general protein secretion pathway. The *pheV* gene, coding for phenylalanine-tRNA, is positioned 150 bp 3' to *yghD* in *E. coli* K-12. DNA homologous to the *pheV* gene was not found in the region sequenced in this study. Nucleotide homology between the K-12 and K10 sequences ceases immediately 3' of the *yghD* stop codon, indicating the region of insertion of the K10 capsule cluster. The position of the K10 capsule genes between *yghD* and *pheV* on the *E. coli* K-12 chromosome is consistent with the map position near *serA* previously determined for the *E. coli* K10 capsule gene cluster (24). This is the same insertion site reported for the K54 and K5 capsule gene clusters (37). Presumably, *pheV* is located at the distal end of the ϕ R73-like prophage identified flanking the K10 capsule gene cluster. ϕ R73 and other related bacteriophages integrate at the 3' end of tRNA genes (5, 43). The observation that numerous pathogenicity islands are found adjacent to prophages (5) implicates these prophages in the evolution of virulence gene clusters inserted in the bacterial chromosome near tRNA genes. It is notable that the K5 capsule gene cluster is inserted at the same chromosomal location as that of K10 although prophage genes have not been identified adjacent to the K5 cluster. Therefore, the ϕ R73-like prophage may have played a role in the acquisition of the primordial group III capsule gene cluster mediating the integration into a group II capsule gene cluster at this site. The remnant of this group II cluster is identified by the promoter, JUMPstart, and group II *kpsM* sequences 5' to the group III region 1 genes, with the remainder of this group II cluster having been lost during the subsequent evolution of the group III capsule gene cluster. Identification of the remnants of an *IS110* sequence 5' to region 1 in both the K10 and K54 capsule gene clusters would suggest that *IS110* may have played a role in the acquisition of the primordial group III capsule gene cluster. Detection of *IS3* 5' to region 1 of the K10 capsule gene cluster, but not in K54, would suggest that this IS element has been involved in events specific to the evolution of the K10 capsule gene cluster.

Therefore using the *E. coli* K10 capsule as a paradigm, we have described the genetic organization of and identified the proteins conserved among different group III clusters. The similarity of the group III proteins to those in group II and the ability to complement mutations in group II polysaccharide export indicate a conserved functionality among group II and group III capsule clusters. We have also shown that the group III capsule genes are under RfaH-mediated transcriptional regulation. Finally, we have identified *IS3* and *IS110* elements and a ϕ R73-like prophage which may have contributed to the evolution of the group III capsule clusters from a group II progenitor.

This work was supported by grants from the BBSRC of the United Kingdom and from the Cell Factories Initiative of Framework IV of the European Commission. I.S.R. gratefully acknowledges the support of the Lister Institute of Preventive Medicine.

We thank J. Rock for his contribution to the project.

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