

Conserved Organization in the *cps* Gene Clusters for Expression of *Escherichia coli* Group 1 K Antigens: Relationship to the Colanic Acid Biosynthesis Locus and the *cps* Genes from *Klebsiella pneumoniae*

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Group 1 capsules of *Escherichia coli* are similar to the capsules produced by strains of *Klebsiella* spp. in terms of structure, genetics, and patterns of expression. The striking similarities between the capsules of these organisms prompted a more detailed investigation of the *cps* loci encoding group 1 capsule synthesis. Six strains of *K. pneumoniae* and 12 strains of *E. coli* were examined. PCR analysis showed that the clusters in these strains are conserved in their chromosomal locations. A highly conserved block of four genes, *orfX-wza-wzb-wzc*, was identified in all of the strains. The *wza* and *wzc* genes are required for translocation and surface assembly of *E. coli* K30 antigen. The conservation of these genes points to a common pathway for capsule translocation. A characteristic JUMPstart sequence was identified upstream of each cluster which may function in conjunction with RfaH to inhibit transcriptional termination at a stem-loop structure found immediately downstream of the “translocation-surface assembly” region of the cluster. Interestingly, the sequence upstream of the *cps* clusters in five *E. coli* strains and one *Klebsiella* strain indicated the presence of IS elements. We propose that the IS elements were responsible for the transfer of the *cps* locus between organisms and that they may continue to mediate recombination between strains.

Escherichia coli produces a wide variety of capsular polysaccharides termed K antigens. These polymers can vary in composition, linkage specificity, and substitution, allowing for diversity among strains. The major capsule groups, traditionally designated groups I and II, were defined by serological properties as well as by the location of the K-antigen biosynthesis gene cluster, the polymer structure, and the expression patterns (23). A new and expanded classification system has recently been proposed (51). This is based on genetic and biochemical (assembly pathway) data and proposes four capsule groups. The new group 1 accommodates a subset of K antigens formerly designated group IA (23). Of the 66 structurally defined K antigens in *E. coli*, 16 show characteristics typical of group 1 capsules (23). Research in this laboratory focuses on the group 1 K antigens of *E. coli* and the structurally related capsules found in *Klebsiella* spp.

In *E. coli*, group 1 K antigens are produced in two distinct forms: a low-molecular-weight form (K_{LPS}), which comprises K oligosaccharides linked to lipid A-core and resembles a lipopolysaccharide (LPS)-linked O antigen, and a high-molecular-weight unlinked form, capsular K antigen, which is associated with the cell surface. A precise mechanism of attachment for capsular K antigen has not been described, but it is known that LPS is not involved (30). In *Klebsiella*, only the capsular form of K antigen is produced. The absence of the K_{LPS} form in *Klebsiella* may reflect differences in the LPS core structure and/or the ligase enzyme (21) that attaches polysaccharides to the lipid A-core acceptor.

The genes involved in synthesis and transport of both K_{LPS} and capsular K antigen in *E. coli* are encoded at a locus called *cps*. A prototype group 1 capsule cluster from *E. coli* E69 (O9a:K30) (*cps*_{ECK30}) has been analyzed (17). The 16-kb locus contains genes required for K30 polymerization and translocation. The K30 antigen is synthesized via the Wzy-dependent polymerization pathway, which has been described for the biosynthesis of certain O antigens (48). In brief, repeat units are made on the cytoplasmic face of the plasma membrane by the action of glycosyltransferases, which transfer residues to a lipid (undecaprenol pyrophosphate)-linked biosynthetic intermediate. The lipid-linked repeat units are moved to the periplasmic face of the membrane by the Wzx protein, where they are polymerized by the Wzy enzyme. To form K_{LPS} , short K30-antigenic oligosaccharides are ligated to lipid A-core by the ligase, WaaL. The capsular form of the K30 antigen is polymerized by the same pathway; however, surface expression is via an LPS-independent pathway that requires the products of *wza* and *wzc* (see below). These gene products are not required for the assembly of LPS-linked O antigens, and they provide features that distinguish gene clusters for biosynthesis of O and K antigens.

Comparative analysis of *Klebsiella pneumoniae* K2 (*cps*_{KPK2}) (2) (GenBank accession no. D21242) and *E. coli* K30 (*cps*_{ECK30}) (17) (GenBank accession no. AF104912) indicates a shared biosynthetic pathway. The objective of this study was to investigate the precise relationships between capsule gene clusters in a number of *E. coli* and *Klebsiella* strains.

A conserved region of genes required for translocation and polymerization of group 1 K antigens. Lipid-linked intermediates are polymerized to form high-molecular-weight K30 polysaccharide via the Wzy-dependent polymerization pathway and then translocated through the outer membrane as an unlinked polymer. Surface assembly of capsular group 1 K antigen min-

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TABLE 1. Bacterial strains used in this study

Strain	Serotype	Source
<i>E. coli</i>		
A295b	O8:K42:H ⁻	F. Ørskov
Bi161-42	O9:K29:H ⁻	F. Ørskov
E56b	O8:K27:H ⁻	F. Ørskov
E69	O9a:K30:H12	F. Ørskov
E75	O9:K34:H ⁻	F. Ørskov
K14a	O9ab:K28:H ⁻	F. Ørskov
N24c	O9a:K55:H ⁻	F. Ørskov
Su3973-41	O9:K31:H ⁻	F. Ørskov
2146	O9:K26:H ⁻	B. Jann
2150	O9:K37:H ⁻	B. Jann
2151	O9:K39:H9	B. Jann
2178	O8:K42:H ⁻	B. Jann
<i>Klebsiella</i>		
A5054	O1:K1	F. Ørskov
B5055	O1:K2	F. Ørskov
708	O12:K30	F. Ørskov
889/50	O1:K20	F. Ørskov
1702	O4:K42	F. Ørskov
6613	O2:K27	F. Ørskov

imally requires two additional genes from the capsule locus, *wza* and *wzc* (17). *Wza*, *Wzb*, and *Wzc* are encoded in the “translocation-surface assembly” region of the K30 cluster and are thought to be an outer membrane lipoprotein, a cytoplasmic phosphatase, and an ATP-binding protein, respectively. Recent work with *Acinetobacter johnsonii* has shown that a *Wzb* homologue, *Ptp*, is capable of dephosphorylating a *Wzc* homologue, *Ptk* (20). Similar genes have been described in diverse systems including *E. coli* K-12 (*wza*, *wzb*, and *wzc*) (44), *Klebsiella* K2 (*orf4*, *orf5*, and *orf6*) (2), and *Erwinia amylovora* (*amsH*, *amsI*, and *amsA*) (9), where they are believed to be involved in the production of colanic acid, K2 capsular polysaccharide (CPS), and amylovoran, respectively. *Wza* and *Wzc* homologues are found in a variety of bacteria that produce CPS and extracellular polysaccharide (38), leading to the conclusion that these represent a common translocation-surface assembly pathway for cell surface polysaccharides. Although these proteins have been established to function in surface expression of the K30 capsular antigen (17), their precise role in the process has yet to be determined.

The region associated with CPS translocation-surface assembly was examined in detail. Chromosomal DNA was prepared from the strains shown in Table 1. To reduce the expression of CPS, bacteria were grown in Luria broth (33) at 42°C. To isolate chromosomal DNA, bacteria from a 5-ml overnight culture were collected by centrifugation and resuspended in 1.5 ml of a lysis solution (50 mM sodium chloride, 2% sodium dodecyl sulfate, 300 mg of proteinase K per ml). The suspension was incubated at 42°C until clear. Next, 250 µl of 5 M sodium chloride and 200 µl of 10% hexadecyltrimethylammonium bromide in 0.7 M sodium chloride were added, followed by a 30-min incubation at 65°C. The sample was then subjected to two phenol-chloroform-isoamyl alcohol (25:24:1) extractions and precipitated with 5% 5 M sodium chloride and 2 volumes of absolute ethanol. The DNA pellet was washed with 70% ethanol and resuspended in 100 µl of sterile water. Finally, the DNA was treated with RNase and subjected to a chloroform-isoamyl alcohol (24:1) extraction.

The region between *wza* and *wzc* was amplified by PCR. PCRs were performed with *Pwo* or Expand Long DNA polymerase (Boehringer Mannheim) in a Perkin-Elmer GeneAmp

TABLE 2. Primers used for PCR amplification of chromosomal DNA

Primer	Location	Sequence ^a
JD109	<i>wza</i>	5'-AGCGACTACGATTTGGATAAGC
JD89	<i>wzc</i>	5'-ACGGGCAATAACAGGAAAATA
JD90	<i>wcaO</i>	5'-TATGTGGGGCGATTGACTCC
JD53	<i>wzx</i>	5'-TTTCCGAAACACGCTTAAAA
JD95	<i>orfX</i>	5'-TGCGCTTCCACATACCAGTTA
JD99	<i>orfX</i>	5'-GAAAGCAAGCCCAATGTAC
GALF1	<i>galF</i>	5'-GGGCGATCTCTCCGAATACTC

^a Primer sequences were based on the relevant chromosomal region from *E. coli* E69 (17) (GenBank accession no. AF104912) and, for GALF1, *K. pneumoniae* Chedid (2) (GenBank accession no. D21242).

PCR System 2400 thermocycler. The primers used for DNA amplification are listed in Table 2 and shown in Fig. 1. PCR products identical in size were obtained with primers JD89 and JD109 and chromosomal DNA from strains representing 6 K serotypes of *Klebsiella* and 12 K serotypes of *E. coli* (Fig. 2). The sizes of the products were consistent with those predicted from the *cps*_{ECK30} and *cps*_{KPK2} sequence data.

For detailed analysis, selected PCR fragments were purified with Qiagen nucleotide removal columns and the region was sequenced at the Guelph Molecular Supercentre (University of Guelph, Guelph, Ontario, Canada). Analyses revealed that the *wza*-to-*wzc* regions in *E. coli* A295b (GenBank accession no. AF118245), E75 (GenBank accession no. AF118246), N24c (GenBank accession no. AF118247), 2151 (GenBank accession no. AF118248), and Bi161-42 (GenBank accession no. AF118249) had 99.5% identity to *E. coli* E69 at the nucleotide level and that the equivalent regions in *cps*_{KPK2} and *cps*_{ECK30} were 72% identical at the nucleotide level.

The observation that group 1 capsule clusters from *E. coli* and *Klebsiella* are organized with a conserved block of translocation-surface assembly genes is reminiscent of the modular structure of gene clusters required for the manufacture of group 2 capsules in *E. coli*. Group 2 (*kps*) clusters comprise three regions (51). Region 1 encodes proteins necessary for translocation of polysaccharide through the periplasm and across the outer membrane, as appears to be the case with the initial genes (*wza* to *wzc*) of the group 1 *cps* clusters. The serotype-specific central region (region 2) is responsible for biosynthesis and polymerization of oligosaccharide repeat units. This is equivalent to the genes downstream of *wzc* in the group 1 capsule clusters. Region 3 contains genes encoding the subunits for an ATP-binding cassette transporter that is required for capsule export across the cytoplasmic membrane (8). The region 3 components are not required in Wzy-dependent polysaccharides, such as the group 1 capsules (48, 51). In the group 2 clusters, regions 1 and 3 are conserved in strains that produce structurally distinct capsules and the gene products are functionally interchangeable between these strains (51). Although functional identity has not been formally shown for the translocation-surface assembly region of group 1 capsules, the high degree of conservation seems to indicate that this would be the case. Some conserved feature of the group 1 K antigens or a component in their assembly must therefore be recognized for the translocation-surface assembly processes to be completed.

orfX is a unique component of group 1 K antigen *cps* gene clusters. Although homologues of *wza*, *wzb*, and *wzc* are found in other systems, including the *cps*_{K-12} cluster for colanic acid biosynthesis, *orfX* homologues have been found only in *cps*_{ECK30} and *cps*_{KPK2} (where it is designated *orf3*) (2, 17). In both cases,

CAPSULAR K30 ANTIGEN K30 REPEAT-UNIT SYNTHESIS AND TRANSLOCATION POLYMERIZATION

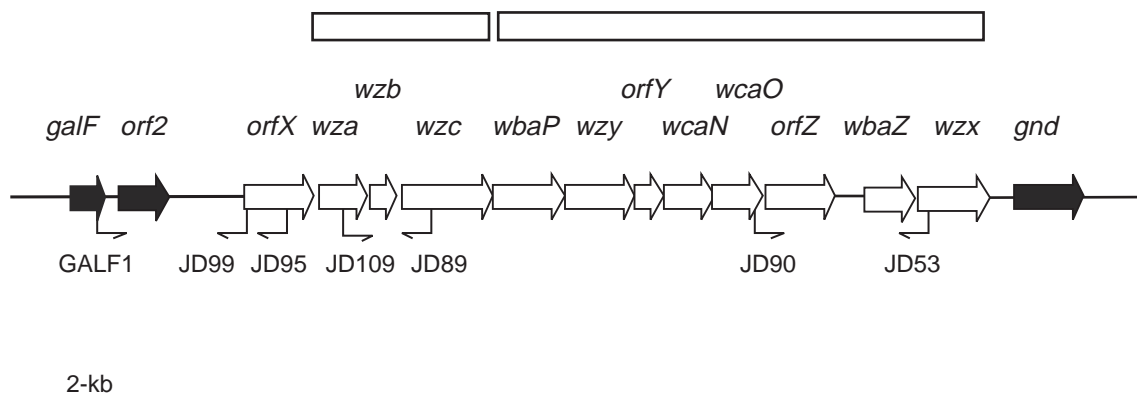


FIG. 1. Organization of the *cps*_{ECK30} locus, which is located between *galF* and *gnd* on the *E. coli* E69 chromosome (17). Components of the Wzy-dependent polymerization pathway (*wzx* and *wzy*) are found in the region dedicated to K30 repeat unit synthesis and polymerization, along with the necessary glycosyltransferases, *wbaP*, *wcaN*, *wcaO*, and *wbaZ*. Genes involved in the translocation of capsular K30 antigen, *wza* and *wzc*, are near the start of the cluster. The functions of *orfX*, *orfY*, and *orfZ* are unknown. Primers used for PCR amplification of selected regions are indicated, and the sequences are given in Table 2.

orfX represents the first gene in the cluster. PCRs were performed with primers designed to amplify the region between *orfX* (JD95 for *Klebsiella* and JD99 for *E. coli*) and a gene upstream of the K-antigen cluster, *galF* (GALF1) (Table 2; Fig. 1). The sizes of the fragments obtained varied considerably due to polymorphism upstream of *cps* (see below). However, sequence data showed *orfX* to be conserved in position and virtually identical at the nucleotide level in all of the *E. coli* strains (E56b, Bi161-42, A295b, N24c, and E75) and *Klebsiella* strains (A5054, 889/50, 6613, and 708) investigated (data not shown). In *E. coli* K30, *orfX* mutants do not appear to be impaired in translocation-surface assembly of the capsular K antigen (17) and the precise role of *orfX* remains unclear. However, the high degree of conservation in *orfX* homologues

would indicate that it is important in the production of group 1 capsules.

Identical *cps* gene clusters in *E. coli* K30 and *K. pneumoniae* K20. There are notable structural similarities between group 1 K antigens in *E. coli* and *K. pneumoniae* (structures are available online in the Complex Carbohydrate Structure Database [14a]). In the example of *Klebsiella* K20 (11) and *E. coli* K30 (10), the capsular antigen structures are identical. The relationship between these two gene clusters was also examined by PCR and sequencing. Similar to the *E. coli* strains analyzed above, the *wza*-to-*wzc* region in these strains was shown to be 99.5% identical (*Klebsiella* K20 GenBank accession no. AF118250).

Genes downstream of *wza*, *wzb*, and *wzc* are involved in

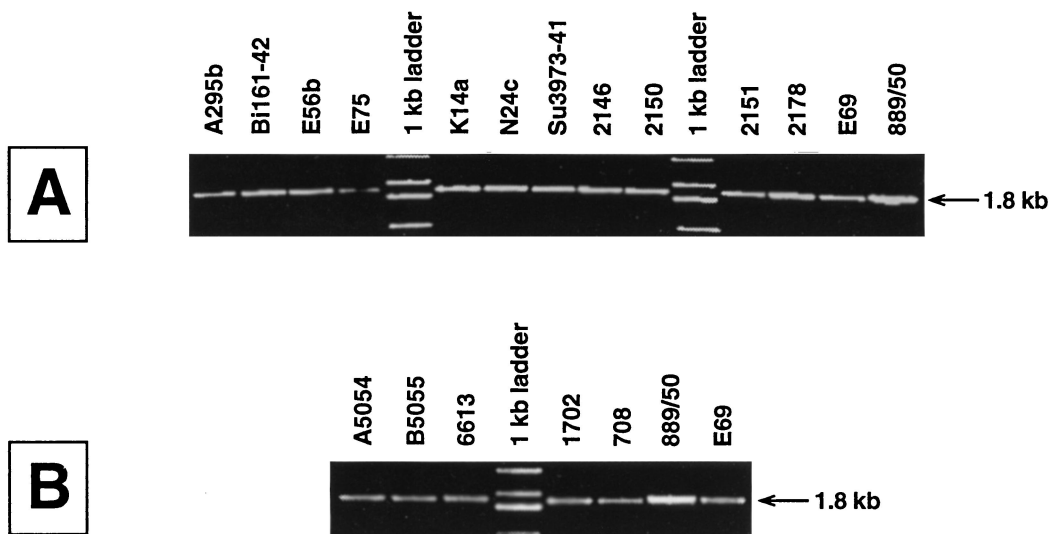


FIG. 2. PCR analysis of the *wza*-to-*wzc* region from different group 1 K serotypes of *E. coli* (A) and *Klebsiella* (B). For reference, *K. pneumoniae* K20 strain 889/50 is also shown in panel A and *E. coli* K30 strain E69 is shown in panel B. PCR products identical in size (1.8 kb) were obtained for all of the strains tested, indicating a conservation of gene order between clusters.

repeat-unit biosynthesis and polymerization. Genes in this region, in particular, the glycosyltransferases, will vary with the structure of the polymer being produced by any given strain. Among group 1 capsules, this region has been described in detail for *E. coli* K30 (17) and *Klebsiella* K2 (2), and although both encode the same classes of gene products, they exhibit only low levels of similarity. PCR amplification of the region from *wcaO* to *wzx* with primers JD90 and JD53 (Table 2; Fig. 1) yields products identical in size (3.6 kb) for both *E. coli* K30 and *K. pneumoniae* K20 (data not shown). At the nucleotide level, the products are 99% identical over the 550 bp sequenced from each end. The high degree of conservation at the nucleotide level for both the *wza-wzc* and the *wcaO*-to-*wzx* regions may indicate the transfer of *cps* gene clusters between *K. pneumoniae* and *E. coli* (see below).

Conservation of regulatory regions upstream of *cps*. Gene clusters for bacterial polysaccharides are characteristically preceded by a 39-bp JUMPstart (for “just upstream of many polysaccharide starts”) element (22). The JUMPstart element has also been identified upstream of gene clusters involved in F conjugation pilus assembly and hemolysin toxin secretion (reviewed in reference 5). A second element, *ops* (for “operon polarity suppressor”) is an 8-bp motif located within the JUMPstart sequence (35). Both elements are believed to play a role in transcriptional antitermination of the gene clusters (5, 31, 35). Their role has been described in regulation of *E. coli* group 2 K-antigen (*kps* [42, 43]) and LPS O-antigen (*rfb* [31]) biosynthesis.

The role of the JUMPstart element has been best characterized for the hemolysin operon, where it has been shown to control operon polarity but not transcript stability (5, 35), in concert with a NusG homologue, RfaH (4, 28, 29). The element can function over long distances (2 kb); however, it does so only when present on the nascent transcript (35). In one possible model, the JUMPstart sequence functions by facilitating the formation of a number of stem-loop structures on the mRNA during transcript elongation (31). This region recruits RfaH and potentially other proteins. Binding of RfaH to stem-loop III may inhibit the formation of the other stem-loops, which are thought to induce premature termination when present.

To investigate the conservation of these regulatory elements, regions immediately upstream of the group 1 K-antigen clusters were amplified and sequenced. A conserved JUMPstart element was present in both *E. coli* strains (E56b, Bi161-42, A295b, N24c, and E75) and *Klebsiella* strains (A5054, 889/50, 6613, and 708) (Fig. 3A). This finding suggests that group 1 capsule clusters are subject to transcriptional control via antitermination. Interestingly, the *cps* gene clusters share a feature noted upstream of the O-antigen biosynthesis region of *E. coli* O7 (*wb**) (31), i.e., the presence of two *ops* elements.

In the hemolysin system, antitermination is required to avoid extreme operon polarity in a situation where the structural gene for the toxin is separated from those required for toxin maturation and export by a stem-loop structure (5). Analysis of the available *cps*_{ECK30} and *cps*_{KPK2} sequences identified a stem-loop structure in the intergenic region between *wzc* and the initial gene of the repeat-unit synthesis region (Fig. 3B). This potentially provides a strong transcriptional terminator, thereby allowing differential expression of structural components for capsule translocation and the highly active enzymes involved in polymer synthesis. Equivalent stem-loop structures are also predicted from the nucleotide sequence downstream of the *wzc* homologue in the gene clusters for amylovoran (9) and colanic acid (44) (data not shown). Differential expression of genes required for translocation-surface assembly and syn-

thesis is known to occur in the *E. coli* group 2 *kps* clusters. In these systems, regions 2 and 3 are organized into one transcriptional unit under the control of the region 3 promoter. Region 2 genes rely on transcriptional antitermination by RfaH to avoid operon polarity problems (42). The *kps* region 1 is not regulated via antitermination but has been shown to be thermoregulated (12, 40). In addition, the first gene of region 1, *kpsF*, plays a poorly understood role in regulation (12). It remains to be established whether the product of *orfX* plays a similar role in expression of group 1 K antigens.

Group 1 K antigens are known to be regulated by the Rcs (for “regulator of capsule synthesis”) system in both *E. coli* (24, 25) and *Klebsiella* (1, 32, 47). This two-component regulatory system is best characterized for colanic acid production in *E. coli* K-12 (19); however, essentially identical systems operate in *E. amylovora* (6, 7, 14, 27). It is believed that the RcsC protein senses an environmental signal and, along with a second protein, RcsF, modulates the activity of RcsB through phosphorylation. RcsB can interact with a Lon protease-sensitive protein, RcsA, and upregulate *cps* transcription. Both RcsA and RcsB have helix-turn-helix DNA-binding motifs and bind to the promoter region of the *ams* cluster for amylovoran production in *E. amylovora* (27). A potential binding site has also been identified upstream of the colanic acid cluster based on the titration of regulatory proteins by using promoter DNA and sequence homology to the *E. amylovora* binding site (27, 45). The environmental signal sensed by the Rcs system is uncharacterized but may involve membrane perturbations (13, 18, 37) or osmotic stress (3, 18, 41).

Although group 1 capsules, colanic acid, and amylovoran are all Rcs regulated, there are some important differences in their expression patterns. Most notable is the observation that colanic acid and amylovoran are optimally produced at 20°C and are not manufactured at 37°C. This is not surprising for colanic acid since this polymer is not a virulence determinant (39) and its function may be more important in environments outside the host (18, 41). *E. amylovora* is a plant pathogen associated with infections at environmental temperatures. However, group 1 capsules are virulence determinants and are produced at 37°C (49). The ability to express *cps* gene products at 37°C may be due to altered interactions of an RcsA-RcsB dimer with the *cps* promoter or to the involvement of additional (as yet uncharacterized) regulatory proteins. The Rcs proteins themselves are highly conserved in *E. coli* K30 and *E. coli* K-12 (24, 25), suggesting that they are unlikely to determine the different patterns of expression. The regions upstream of *cps* in *E. coli* and *K. pneumoniae* strains with group 1 K antigens lack the published RcsA-RcsB-binding sequences (27). Therefore, although the Rcs system may function to regulate group 1 K antigens, details of this interaction may differ considerably from those for the colanic acid and amylovoran systems.

Analysis of the *Klebsiella* K2 *cps* upstream region resulted in the identification of a partial sequence of a putative σ^{54} promoter (2). This is conserved in all the *E. coli* and *K. pneumoniae* strains examined here. However, this putative promoter lies downstream of the JUMPstart element and thus could not operate in situations where antitermination by RfaH is required. The precise physiological significance of this “promoter” is therefore unclear.

Possible lateral transfer of group 1 *cps* genes. The data presented above demonstrates that the K-antigen gene clusters of *E. coli* and *Klebsiella* are highly conserved in organization and in *cps* nucleotide sequence. This is consistent with the possibility of lateral transfer of group 1 capsule gene clusters between these organisms. Further evidence in support of this contention was obtained by the identification of IS elements

A

Genbank Accessions

<i>ams</i>	CAGTGT TATT GGTAGCTGTTAAGCCA AGGG CGGTAGCGTA	Ref. 27
<i>kps</i>	CAGTGT TATT GGTAGCTGTTAAGCCA AGGG CGGTAGCGTA	X53819
<i>cps</i>	CAGTGT TATT GGTAGCT AAAA AGCC AGGG CGGTAGCGTG	U52666
<i>wb*</i> <i>EcO7</i>	CAGTGC TCT GGTAGCTGTTAAGCC AGGG CGGTAGCGTA	U23775
E69,		AF104912
E56b,		AF118252
N24c,		AF118255
A5054,	CAGTGC GCT GGTAGCTGTTAAGCC AGGG CGGTAGCGTC	AF118258
889/50,		AF118259
6613,		AF118256
708		AF118257
A295b	CAGTGC GCT GGTAGCTGTTAAGCC ATGGG CGGTAGCGTC	AF118251
Bi161-42	CAGT ACG CTGGTAGCTGTTAAGCCA AGGG CGGTAGCGTC	AF118254
E75	CAGTGC TTG GTAGCTGTTAAGCC AGGG CGGTAGCGTC	AF118253

B

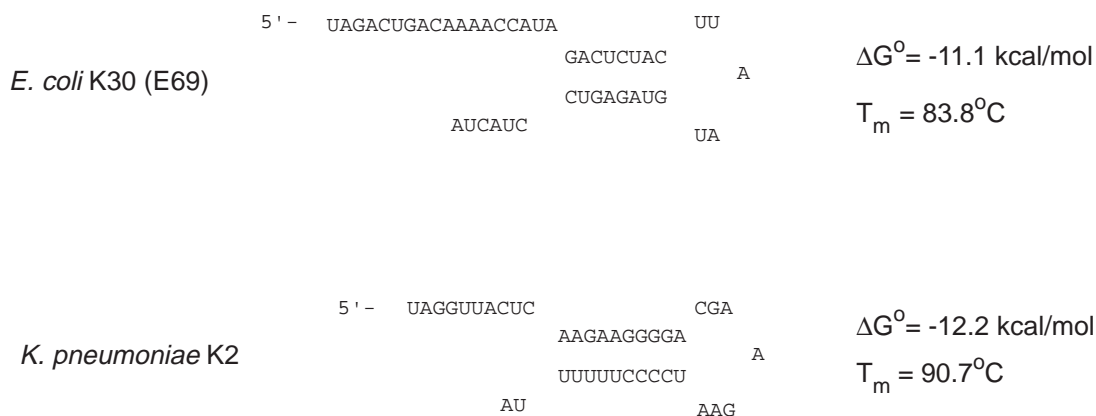


FIG. 3. (A) JUMPstart sequences from polysaccharide gene clusters directing the synthesis of amylovoran (*ams*) (27), colanic acid (*cps*) (45), O7 antigen (*wb**) (31), and the *E. coli* and *Klebsiella* group 1 capsule clusters examined in this study. Variations from the *E. coli* K30 sequence are highlighted in boldface. The two *ops* elements found in the *E. coli* O7 JUMPstart sequence are underlined. (B) Stem-loop structures which have been identified in *cps*_{ECk30} and *cps*_{KPK2}. They are located immediately downstream of *wzc* and may function as transcription terminators.

upstream of the *cps* gene clusters. In *E. coli* K30, a partial IS1 element (250 bp) truncates an adjacent gene, *orf2*. *orf2* is also found immediately upstream of the *cps*_{KPK2} cluster (2) but is absent in *E. coli* K-12. *orf2* is not essential for K-antigen pro-

duction in *K. pneumoniae* (2). A survey of other strains showed that many of the *E. coli cps* clusters are flanked by IS sequences (Fig. 4). Of the six *E. coli* strains examined, five contained IS elements. The type of IS element present is highly variable,

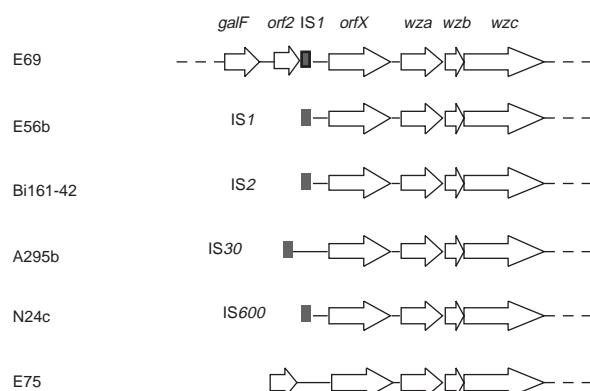
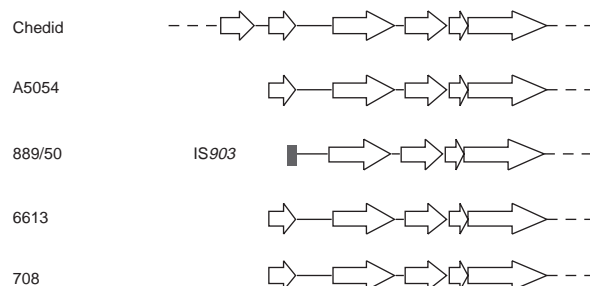
Escherichia coli*Klebsiella* spp.

FIG. 4. Diagrammatic representation of the region upstream of *cps* in the *E. coli* and *K. pneumoniae* strains investigated. The regions for *E. coli* E69 (17) and *K. pneumoniae* Chedid (2) are based on published data. The location and type of IS element identified, if any, is indicated. The putative σ^{54} promoters and conserved JUMPstart elements are located between the IS elements (or *orf2*) and *orfX*, the first gene of each cluster.

with only IS1 being identified in more than one strain (*E. coli* E69 and E56b). In contrast, only one of the five *K. pneumoniae* strains had an IS element. The location of the IS sequences seems to be quite highly conserved, with only two of six strains (*E. coli* A295b and *K. pneumoniae* 889/50) showing slight variation.

The presence of IS elements adjacent to most of the tested *E. coli* *cps* clusters and their absence in all but one of the *cps* regions examined in *Klebsiella* strains suggest that IS elements may have mediated an initial transfer of the cluster to *E. coli* from *Klebsiella* and could continue to be important for the exchange of genes encoding different capsule types between strains. Support for this hypothesis can be found in an analysis of *gnd* (6-phosphogluconate dehydrogenase) alleles from a variety of *E. coli* and *Klebsiella* isolates. The *cps* genes map near *his* and *gnd* in *E. coli*, and Nelson and Selander (34) have suggested that diversity in *gnd* represents a surprisingly high degree of recombination. This was attributed to cotransfer with adjacent loci (primarily *rfb*) whose activities are subject to diversifying selection because of the host immune response. Nelson and Selander also argued for lateral transfer of *gnd* genes from *Klebsiella* to *E. coli*. *E. coli* strains with the 16 known group 1 K antigens have a limited array of LPS O antigens (23); of the >170 O serotypes, only O9, O9a, O8, O20, and O101 are represented. *Klebsiella* strains have 77 different K antigens (36) but fewer than 10 structurally distinct O antigens (26, 46). Notably, some O-antigen structures are

shared, and lateral transfer of the O3 gene cluster to *E. coli* has been proposed (46). Collectively, these data suggest that transfer of a large region of DNA including the *rfb* and *cps* loci may have occurred. In such a scenario, the extended region between *galF* and *his* (*orf2-cps-ugd-rfb-gnd*) from *Klebsiella* would replace the “typical” *E. coli* region (*cps* [colanic acid]-*rfb-gnd-ugd-wzz*). This is consistent with our previous analysis of the regions surrounding *gnd* that confirmed the lack of *wzz* in *E. coli* strains with group 1 capsules (15, 16). Such organization also explains why expression of colanic acid and expression of a group 1 capsule are mutually exclusive. In previous work, we proposed that the *cps*_{K-12} and *cps*_{ECK30} systems are allelic (25, 50). From the differences in organization (the presence of *orfX*), altered upstream sequences, and the IS/*orf2* region missing in *E. coli* K-12, these can no longer be considered alleles. Colanic acid is therefore not simply a widespread serotype of group 1 K antigen and should not be included as such.

The simple conclusion that all *E. coli* group 1 capsules have arisen by lateral transfer of DNA from *Klebsiella* is complicated by several observations. First, not all *E. coli* group 1 capsules have structurally identical counterparts in *Klebsiella*, although this could reflect further gene transfer and recombination events within *cps* after the initial transfer, thereby resulting in the production of novel structures. However, of the strains examined here, one *E. coli* isolate (E75) lacks an IS element immediately upstream of *cps* and only one *Klebsiella* strain (889/50) has an IS element (IS903). These exceptions suggest that the events that resulted in group 1 capsule diversity in *E. coli* and *Klebsiella* are more complicated. As noted above, the *E. coli* K30 and *K. pneumoniae* K20 (889/50) *cps* gene clusters appear to be identical, and there are two possible explanations for this. One is that the cluster was mobilized to these strains from a common (unknown) source by a process involving different IS elements. The other is that the gene exchanges between *Klebsiella* and *E. coli* are possibly not restricted to unidirectional lateral transfer events. There is currently no data to resolve these possibilities.

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ADDENDUM IN PROOF

While this paper was under review, further analysis of the RcsA-RcsB binding site in *Escherichia coli* K-12 was reported (W. Ebel and J. E. Trempe, *J. Bacteriol.* **181**:577–584, 1999). A conserved motif (the RcsA box) was identified upstream of both *cps* and *rcsA* in *E. coli* K-12. This motif is not present in the regions upstream of the group 1 capsule gene clusters reported here. The binding site for RcsA-RcsB in *Erwinia* and related bacteria has also been further elucidated (M. Wehland, C. Kiecker, D. L. Coplin, O. Kelm, W. Saenger, and F. Bernhard, *J. Biol. Chem.* **274**:3300–3307, 1999).

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