

## Role of HtrA in Growth and Competence of *Streptococcus mutans* UA159

Sang-Joon Ahn, José A. C. Lemos, and Robert A. Burne\*

Department of Oral Biology, University of Florida College of Dentistry, Gainesville, Florida 32610

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**We report here that HtrA plays a role in controlling growth and competence development for genetic transformation in *Streptococcus mutans*. Disruption of the gene for HtrA resulted in slow growth at 37°C, reduced thermal tolerance at 42°C, and altered sucrose-dependent biofilm formation on polystyrene surfaces. The *htrA* mutant also displayed a significantly reduced ability to undergo genetic transformation. A direct association between HtrA and genetic competence was demonstrated by the increased expression of the *htrA* gene upon exposure to competence-stimulating peptide. The induction of *htrA* gradually reached a maximum at around 20 min, suggesting that HtrA may be involved in a late competence response. Complementation of the *htrA* mutation in a single copy on the chromosome of the mutant could rescue the defective growth phenotypes but not transformability, apparently because a second gene, *spo0J*, immediately downstream of *htrA*, also affects transformation. The *htrA* and *spo0J* genes were shown to be both individually transcribed and cotranscribed and probably have a functional connection in competence development. HtrA regulation appears to be finely tuned in *S. mutans*, since strains containing multiple copies of *htrA* exhibited abnormal growth phenotypes. Collectively, the results reveal HtrA to be an integral component of the regulatory network connecting cellular growth, stress tolerance, biofilm formation, and competence development and reveal a novel role for the *spo0J* gene in genetic transformation.**

Prokaryotes are equipped with a variety of stress response mechanisms that enable them to survive under adverse environmental conditions, including a group of highly conserved proteins that help the cell to cope with the increased levels of the misfolded proteins arising from exposure to stressors. Proteins with abnormal conformations are either refolded or degraded by a sophisticated system of molecular chaperones and proteases. HtrA (high-temperature requirement A), also termed DegP or DO protease (41), is of particular interest because it can function as both a protease and a chaperone. Many of these functions are central to physiologic homeostasis, including growth, stress tolerance, transport and secretion, signal transduction, and modification of the behavior of the organisms to adapt to environmental stresses (13, 14, 48). HtrA is a heat-shock-induced, surface-associated serine protease that was first identified in *Escherichia coli* (26), and homologues of HtrA have been identified in a wide range of bacteria, as well as in some *Eukarya*, including yeast, plants, and humans (34). HtrA-like proteins characteristically possess an amino-terminal hydrophobic region, a catalytic domain containing a triad of His, Ser, and Asp residues conserved in trypsin-like serine proteases, and a PDZ domain thought to be involved in the formation of a multimeric enzyme complex (34, 38). Although many bacteria have more than one copy of HtrA (34), gram-positive cocci, including *Streptococcus mutans*, *Streptococcus pneumoniae*, and *Streptococcus pyogenes*, have a single *htrA* gene. HtrA in these organisms contains a single PDZ domain in the carboxyl-terminal portion of the protein,

whereas most gram-negative proteins have two PDZ domains (34). In all cases, a primary role for HtrA is to help organisms to survive environmental insults, mainly, elevated temperature and oxidative stress (34). Interestingly, there is a wide variation in the phenotypes of *htrA* mutants of different bacteria. For example, *htrA* mutants of *Salmonella enterica* serovar Typhimurium, *Brucella abortus*, *Lactococcus lactis*, and *S. pyogenes* are sensitive to both temperature and oxidative stresses, whereas *Escherichia coli* and *Yersinia pestis htrA* mutants show sensitivity to temperature increases but not to oxidative stresses (10, 20, 26, 44, 46). The contribution of HtrA to resistance to thermal and oxidative stress appears to differ even within species. In the case of *S. pneumoniae*, an *htrA* mutant of strain D39 exhibited sensitivity to both stresses (18), but that of strain TIGR4 did not (40). The HtrA protein has also been identified as a virulence factor for several pathogenic bacteria, such as *S. enterica* serovar Typhimurium, *Yersinia enterocolitica*, *B. abortus*, *S. pyogenes*, and *S. pneumoniae*. In all cases, *htrA* null mutants were attenuated for virulence in vivo, although the specific contribution that HtrA makes to virulence is not clear. More recently, HtrA was reported to be involved in the development of competence for genetic transformation in *S. pneumoniae* (35, 39).

*S. mutans*, considered to be one of the principle causative agents of dental caries and a leading cause of infective endocarditis, depends on a biofilm lifestyle. *S. mutans* is known to have developed a variety of mechanisms to colonize the tooth surfaces and to tolerate the various stresses experienced during the development of dental caries, such as large fluctuations in carbohydrate availability and pH. The increase in the proportions of *S. mutans* in a cariogenic flora in dental plaque and the factors that make these organisms more competitive under conditions conducive to caries development hinges on the abil-

\* Corresponding author. Mailing address: Department of Oral Biology, University of Florida College of Dentistry, Gainesville, FL 32610. Phone: (352) 846-2520. Fax: (352) 392-7357. E-mail: rburne@ufl.edu.

TABLE 1. Primers used in this study

Primer	Sequence <sup>a</sup>	Product size (bp)
HtrA-SphI-FW	GGACCTCCCTCTGCATGCATAAAGGCTCGA	
HtrA-PstI-RV	GGAATCTTATTTCTGCAGGCTAATTTTGCTG	
PhtrA-SacI-FW2	TGTAAATAGCGAGCTCCATTTTCTGA	
htrA-SphI-RV	GATTATGGTGCATGCAGACTTATTG	
Spo0J-flanking-FW	GGTTCTAAGCGCCACATTA	
Spo0J-flanking-RV	TGAGAAAGTTTTCCACAGGTGA	
HtrAspo0J-FW	CGCTGACCCTCTTTTCA	
HtrAspo0J-RV	TGGGCTGTTTGATGTGGAT	
For real-time PCR		
gtfB-FW	AGCAATGCAGCCATCTACAAAT	98
gtfB-RV	ACGAACTTTGCCGTTATTGTCA	
gtfC-FW	CTCAACCAACCGCCACTGTT	98
gtfC-RV	GGTTTAACGTCAAAATTAGCTGTATTAGC	
gtfD-FW	CACAGGCAAAAAGCTGAATTAACA	83
gtfD-RV	GAATGGCCGCTAAGTCAACAG	
ftf-FW	CAGTAGTACACCGGAAGTAGG	124
ftf-RV	GCAATCTTACGAGCCTGTTCTG	
htrA2-FW	AAGTTGTTAGACCCGCTCTTG	101
htrA2-RV	ACCGCTTGTGACATCACTTGG	
spo0J-FW	AATCCCTATCAACCTCGACTGC	142
spo0J-RV	GCCTTTCTCTGCAACCAAATC	

<sup>a</sup> Italics indicate restriction endonuclease sites.

ity of these organisms to respond rapidly and efficiently to environmental fluxes. Here, we characterize the *htrA-spo0J* gene cluster of *S. mutans*. We show that HtrA plays a central role in growth, thermal tolerance, biofilm formation, and genetic transformation. It is also demonstrated that competence development is significantly influenced by the expression of both *htrA* and *spo0J*.

#### MATERIALS AND METHODS

**Bacterial strains, plasmids, and growth conditions.** *E. coli* strain DH10B was grown in Luria broth, and *S. mutans* strain UA159 and its derivatives were grown in brain heart infusion (BHI) broth (Difco). For the selection of antibiotic-resistant colonies after genetic transformation, erythromycin (300 µg/ml for *E. coli* or 10 µg/ml for *S. mutans*), kanamycin and spectinomycin (50 µg/ml for *E. coli* or 1,000 µg/ml for *S. mutans*), or ampicillin (100 µg/ml for *E. coli*) was added to the medium as needed. For growth rate comparisons, cultures of *S. mutans* were initiated with a 1:100 dilution of overnight cultures, and the optical density at 600 nm (OD<sub>600</sub>) was measured at 37°C or 42°C at routine time intervals. The cultures were removed from test tubes, and the adhesive films of cells formed on the glass bottom were observed as a growth phenotype. For biofilm formation assays, *S. mutans* strains were grown in the semidefined BM medium (27), supplemented with glucose or sucrose at a final concentration of 20 mM. Plasmid pDL278 (21), an *E. coli-Streptococcus* shuttle vector carrying a spectinomycin resistance (Sp<sup>r</sup>) gene that confers resistance to spectinomycin in both organisms, was used to measure transformation efficiency.

**DNA methods.** Chromosomal DNA was prepared from *S. mutans* UA159 and its derivatives as previously described (4), and plasmid DNA was isolated from *E. coli* by using columns (QIAGEN, Inc., Chatsworth, CA). Restriction endonucleases and DNA-modifying enzymes were used according to the manufacturers' instructions. PCR products were purified with QIAquick kits (QIAGEN) and used for cloning. DNA was introduced into *S. mutans* by natural transformation (24) and into *E. coli* by the calcium chloride method (37). Southern blot analysis was performed with internal fragments of the genes of interest that had been labeled with psoralen-biotin by the BrightStar labeling kit (Ambion, Inc., Austin, TX).

**Construction of mutant strains.** To construct *htrA* mutant strains, a DNA fragment containing the *htrA* gene was amplified from chromosomal DNA of *S. mutans* UA159 with primers HtrA-SphI-FW and HtrA-PstI-RV (Table 1) and cloned onto pGEM5 (Promega, Madison, WI) as an SphI-PstI fragment (pGEM5-*htrA*). To construct a polar mutant, the entire *htrA* structural gene was removed from pGEM5-*htrA* by two internal SpeI sites, blunt ended with T4

DNA polymerase, and replaced by an erythromycin resistance (Em<sup>r</sup>) gene containing a transcriptional terminator. The mutagenic plasmid for a nonpolar *htrA* mutant was constructed by inserting a commercial EZ::TN transposon (Epicentre, Madison, WI) including a nonpolar kanamycin resistance (Km<sup>r</sup>) gene into the *htrA* gene (Fig. 1). To inactivate the *spo0J* gene, another EZ::TN transposon including the erythromycin resistance gene was used. The custom EZ::TN transposons were constructed by cloning a Km<sup>r</sup> or Em<sup>r</sup> gene into the multiple cloning site (MCS) of the pMOD-2<MCS> vector and then isolating the transposon from the vector backbone by PCR amplification using pMOD<MCS> forward and reverse PCR primers that are provided with the vector. The EZ::TN transposon was incubated with pGEM5-*htrA* or pGEMT-*spo0J*, which was constructed by cloning the PCR fragment of the whole *spo0J* gene into pGEM-T Easy vector (Promega, Madison, WI) for 2 h at 37°C for an in vitro transposon insertion reaction. The desired mutagenic plasmids were selected by PCR amplification using vector-originated M13 primers and pMOD<MCS> forward and reverse sequencing primers that are provided with the vector and then isolated and used directly to transform *S. mutans* UA159. Transformants were selected on BHI agar containing the appropriate antibiotic, and double-crossover mutants of *htrA* or *spo0J* were confirmed by PCR and sequencing or by Southern blot analysis. The *htrA*-deficient mutants were designated SAB2 ( $\Delta htrA::Em^r$ , polar mutant) and SAB2-13 (*htrA::Km<sup>r</sup>*, nonpolar mutant), respectively. The *spo0J*-deficient mutant was named SAB3 (*spo0J::Em<sup>r</sup>*).

To construct a reporter gene fusion for measuring the transcription level of the *htrA* gene, a 0.2-kb fragment containing the putative promoter region of *htrA* was cloned into the BamHI site in front of a promoterless chloramphenicol acetyltransferase (CAT) gene (*cat*) in pGEM3cat, where the *cat* gene is inserted into BamHI and SphI sites of pGEM3 (Promega), to yield plasmid pGEMcat-P<sub>htrA</sub>. The clone containing a functional P<sub>htrA</sub>-*cat* fusion was obtained on LB agar plates supplemented with chloramphenicol at 30 µg/ml, and the sequence of the promoter region was confirmed by nucleotide sequencing. The P<sub>htrA</sub>-*cat* gene fusion was then excised from pGEM3cat-P<sub>htrA</sub>, subcloned onto the *S. mutans* integration vector pBGK (49), and integrated into the *gtfA* locus of the chromosome of the wild-type strain in single copy. Double-crossover recombination of the reporter gene fusion into the *S. mutans* chromosome was confirmed by PCR amplification using primers internal to *gtfA*.

**Construction of complemented strains.** To ensure that the *htrA* mutant phenotypes were due solely to the absence of *htrA*, we constructed two *htrA*-complemented strains with different copy numbers, SAB2MC (multicopy) and SAB2C (single copy). A wild-type copy including the entire *htrA* gene and its promoter region was amplified from UA159 genomic DNA by using primers PhtrA-SacI-FW2 and htrA-SphI-RV (Table 1). The amplicon was digested with SacI and SphI, ligated into the same restriction sites of plasmid pDL278 (pDL278/*htrA*<sup>+</sup>), and then transformed into the *htrA* mutant strain SAB2



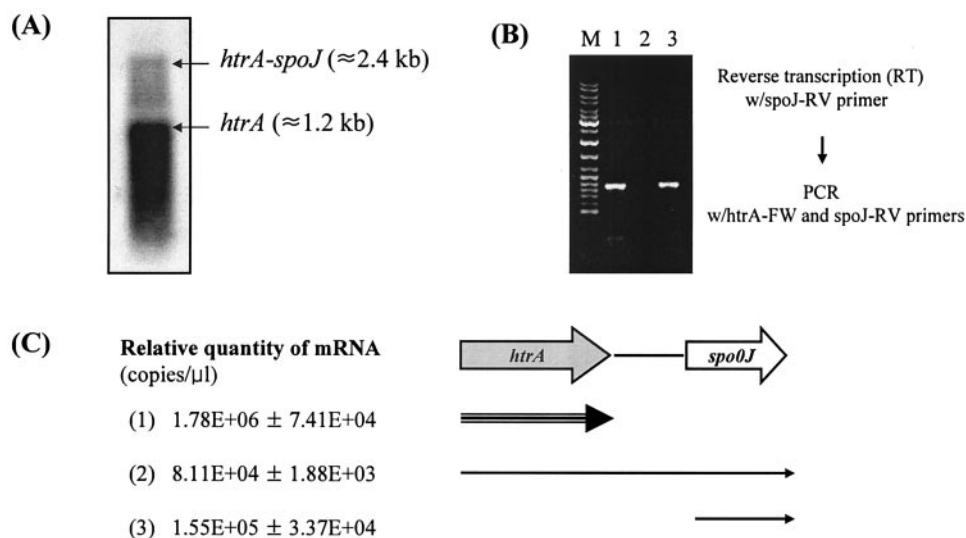


FIG. 2. Transcriptional analysis in the *htrA-spo0J* locus of *S. mutans* UA159. (A) Northern blot analysis. Total RNA (10  $\mu$ g) from UA159 strain was separated in a 0.9% formaldehyde gel, transferred to a nylon membrane, and hybridized to a probe specific for the *htrA* gene. (B) Following reverse transcription with reverse primer *spo0J*-RV, PCR amplification was performed with a primer set of *htrA*2-FW and *spo0J*-RV. The PCR products were run on Tris-acetate-EDTA gel. Lane M, size marker; lane 1, RT-PCR product; lane 2, negative control of RT; lane 3, positive control of PCR from chromosomal DNA of UA159. (C) Real-time PCR. For measuring the *htrA* (1) and *htrA-spo0J* (2) mRNAs, total RNA from UA159 was used for RT with *htrA*2-RV and *spo0J*-RV, respectively. For measuring the *spo0J* mRNA, total RNA from SAB2 was used for RT with the *spo0J*-RV primer. See the text for more details. Data represent means  $\pm$  standard deviations which were from two separate experiments. Arrows indicate the relative amounts of mRNA.

template, based on its threshold cycle. The concentrations of purified PCR products were estimated at OD<sub>260</sub>, and the numbers of copies/ml for standard curves were calculated according to the following formula (53): copies/ml =  $(6.023 \times 10^{23} \times C \times \text{OD}_{260})/\text{MWt}$ , where C is  $5 \times 10^{-5}$  g/ml for DNA and MWt is the molecular weight of PCR product (base pairs  $\times 6.58 \times 10^2$  g). Standards were made to concentrations of  $10^8$  copies/ $\mu$ l.

The gradient thermocycling program was set for 40 cycles of 95°C for 10 s and 60°C for 45 s, with an initial cycle at 95°C for 30 s. During each cycle, the accumulation of PCR products was detected by monitoring the increase in fluorescence of the reporter dye from double-stranded-DNA-binding SYBR green. Melt curves were run immediately after the last PCR cycle. Melt curves were constructed by plotting the fluorescence intensities against temperatures as the set point temperatures (60°C) were increased by 0.4°C for 10 s (100 cycles). Data were collected and analyzed using the software and graphics programs provided with the iCycler iQ. For the confirmation of amplicon presence and purity, the real-time PCR products were run on a 1.0% Tris-acetate-EDTA gel and stained with ethidium bromide.

**Transformation of *S. mutans*.** Overnight cultures of *S. mutans* strains were diluted 1:20 in BHI medium containing horse serum (10% vol/vol). A 0.2-ml aliquot of the cultures was incubated at 37°C for 100 min in a 96-well microtiter plate (Costar 3595; Corning) and then treated with or without 5  $\mu$ l of synthetic CSP solution (1 nmol/ $\mu$ l). CSP consisted of a 21-amino-acid peptide (SGSLSTFFRLFNRSFTQALGK) (25) that was synthesized at the ICBR Protein Chemistry and Biomarkers Core Facility of University of Florida. The purity of the synthetic CSP was confirmed by high-performance liquid chromatography and mass spectrometry profiles, and the material was freeze-dried and stored at  $-20^\circ\text{C}$  for further studies. After incubation for 20 min to allow the induction of competence, the cultures were exposed to 500 ng of plasmid pDL278. After 150 min at 37°C, cultures were chilled on ice and transformants and total CFU were enumerated by plating cells on BHI agar plates with or without 1 mg/ml spectinomycin, respectively. Transformation efficiency was determined after 48 h of incubation and was expressed as the percentage of transformants among the total viable recipient cells.

## RESULTS

**The *htrA-spo0J* locus of *S. mutans*.** The *htrA* gene was identified in the complete genome of *S. mutans* UA159 from nu-

cleotides 2028472 through 2029677 (1). The gene encodes a putative 402-amino-acid protein that showed high levels of similarity with HtrA proteins of *S. pneumoniae* (50% identity), *Lactococcus lactis* (49% identity), and *E. coli* (37% identity). The organization of open reading frames in the region surrounding *htrA* appeared similar to those in other streptococcal strains, such as *S. pneumoniae* (47) and *S. pyogenes* (11), but a gene that is most similar to *spo0J* of *Bacillus subtilis* is located 477 bp downstream of the stop codon of *htrA* (Fig. 1A). Consensus binding sites for DnaA (DnaA box), which forms initiation complexes for chromosome replication, are found in nontranslated regions upstream of *htrA* and upstream and downstream of *dnaA* (Fig. 1A). The 477-bp intergenic region between *htrA* and the gene immediately downstream is much longer than those of other bacteria, including *S. pneumoniae* (57 bp) and *S. pyogenes* (58 bp), suggesting that the *S. mutans htrA* gene is monocistronic, as implied in other studies (8, 34). A putative transcriptional terminator was found downstream of the stop codon of *htrA* (Fig. 1A). Interestingly, our analysis revealed that *htrA* and *spo0J* appear to be both independently transcribed and cotranscribed (Fig. 2). Northern blot analysis with RNA isolated from the wild-type strain, which was probed with a portion of *htrA*, detected two transcripts corresponding to an individual transcript of *htrA* and an *htrA-spo0J* transcript (Fig. 2A). No signal was detected in the *htrA*-deficient mutant (SAB2) (data not shown). The ability of *htrA* and *spo0J* transcripts to be cotranscribed was confirmed by RT-PCR in which a reverse transcript formed by a primer internal to *spo0J* (*spo0J*-RV) could be amplified with an internal forward primer in *htrA* (*htrA*2-FW) and an internal reverse primer in *spo0J* (*spo0J*-RV) (Fig. 2B).

To quantify the relative amounts of the *htrA* and *spo0J* tran-

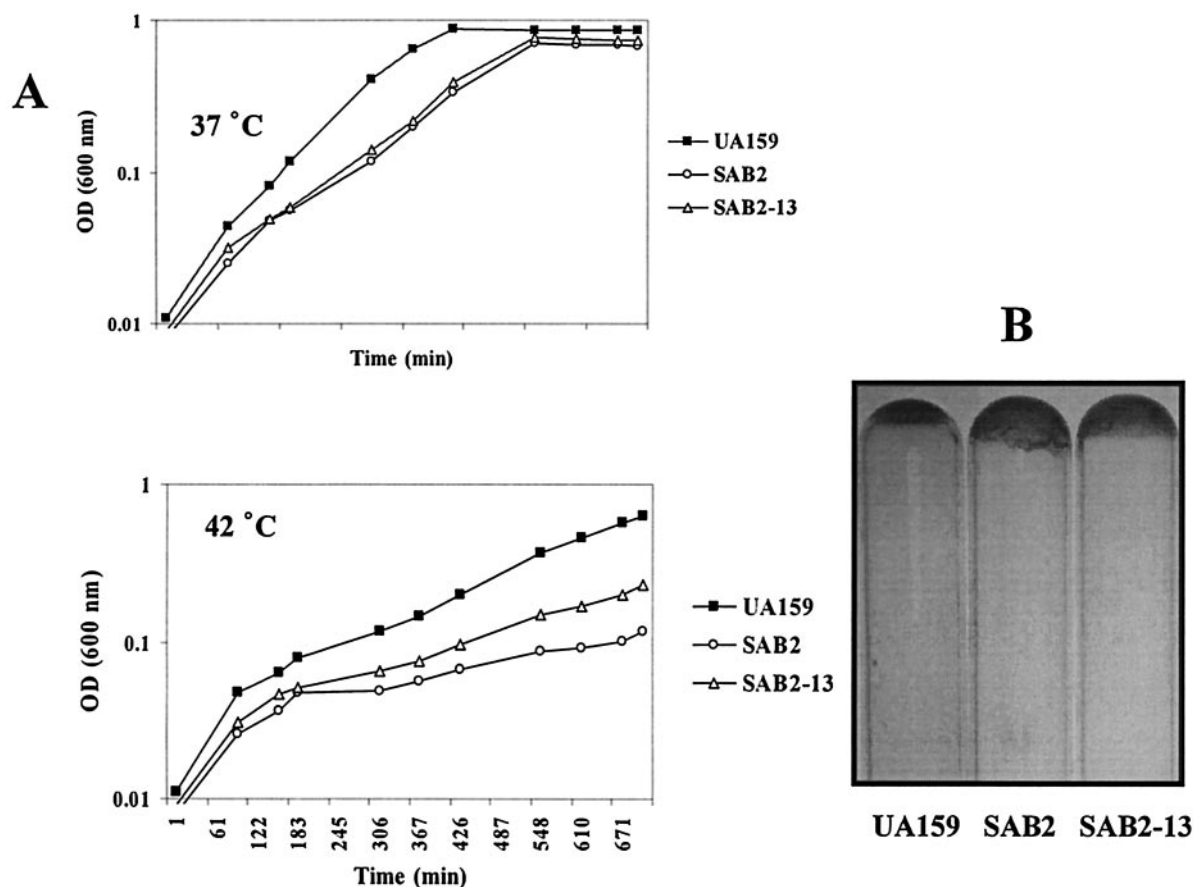


FIG. 3. Growth phenotypes of *S. mutans* UA159 (wild type) and two *htrA*-disrupted mutants, SAB2 (polar) and SAB2-13 (nonpolar). (A) Growth curves grown in BHI broth at 37°C (top) and 42°C (bottom). The data shown are from a single experiment representative of three independent experiments. (B) Adhesive films formed on the bottom of the glass test tubes. After being grown in BHI at 37°C for 1 day, the culture broths were removed.

scripts, the mRNAs for *htrA*, *spo0J*, and the cotranscript were quantified by real-time PCR (Fig. 2C). To examine *spo0J* mRNA arising from transcription immediately upstream of *spo0J* and not as a result of cotranscription with *htrA*, a polar *htrA* mutant described below was used to obtain RNA. The *htrA-spo0J* mRNA was about 20-fold less abundant than the *htrA* mRNA, indicating that transcription through the putative transcription terminator is not completely efficient. Notably, the *spoJ* transcript alone was present in about twofold-greater quantity than the cotranscript. Thus, both genes appear to carry at least one functional promoter, and the ability of the genes to be cotranscribed suggests the possibility of a functional relationship between the *htrA* and *spo0J*.

**Construction of *htrA* mutants of *S. mutans* UA159.** To phenotypically characterize the role of HtrA in *S. mutans*, the *htrA* gene was disrupted by polar and nonpolar insertions so as to also evaluate effects on transcription of the *spo0J* gene (Fig. 1B). The polar mutant (SAB2) was generated by replacing the entire *htrA* gene with a polar erythromycin resistance cassette. In the nonpolar mutant (SAB2-13), a commercial EZ::TN transposon containing a nonpolar kanamycin resistance gene was integrated into the 5' portion of the *htrA* structural gene. In addition, a polar *spo0J* mutant (SAB3) was constructed by

inserting the EZ::TN transposon containing a polar erythromycin resistance cassette into the middle of the *spo0J* gene of the wild type (details not shown).

**Growth phenotypes and stress tolerance by *htrA* mutants.** When grown at 37°C in liquid BHI medium, strains SAB2 and SAB2-13 had generation times about 10 to 20% longer than those of the wild-type strain and reached a lower final OD<sub>600</sub> than the wild-type strain (Fig. 3A). The mutant strains formed clumps during the mid-exponential phase of growth and settled to the bottom of the tube to form adhesive films (Fig. 3B), probably due to altered surface properties. Alterations of chain lengths and differences in colony morphology on agar medium were not observed for the mutant strains. At 42°C, both the polar and nonpolar *htrA* mutants SAB2 and SAB2-13 grew about 20% slower than the wild-type strain (Fig. 3A), indicating that disruption of *htrA* resulted in reduced thermal tolerance.

Strain SAB2 was also tested for its response to oxidative, acid, ethanol, and salt stresses. Acid and H<sub>2</sub>O<sub>2</sub> killing experiments performed by acidification at pH 2.8 and treatment with 0.2% H<sub>2</sub>O<sub>2</sub>, respectively, did not reveal significant differences in survival rates of mid-exponential-phase cells between SAB2 and the parental strain (data not shown). In CAT assays to

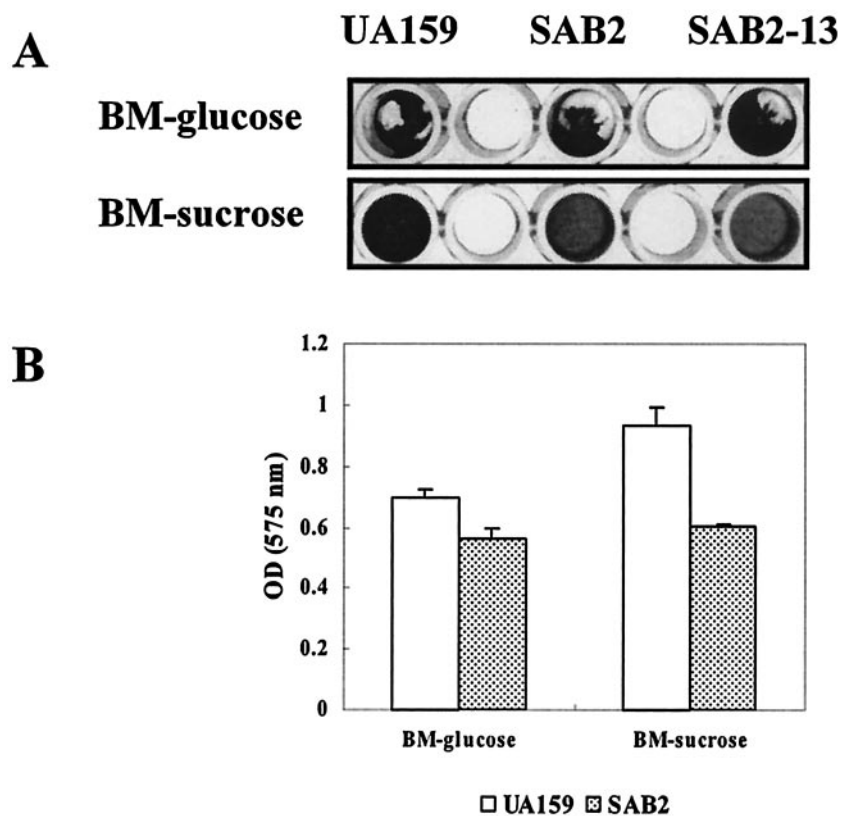


FIG. 4. Biofilm formation of *S. mutans* UA159 (wild type) and SAB2 in BM medium supplemented with glucose (BM-glucose) or sucrose (BM-sucrose) at a final concentration of 20 mM. Biofilm was assayed on polystyrene microtiter plates, stained by using crystal violet (A) and quantified by adding ethanol/acetone mix (B). See the text for more details. Data are representative of no fewer than three separate experiments. The error bars represent standard deviations.

measure the transcription levels of *htrA*, the addition of NaCl (4.5%, wt/vol) and ethanol (10%, vol/vol) to mid-exponential-phase liquid culture had no effect on *htrA* transcription (data not shown), and growth of the wild-type and mutant strains was the same as assessed by counting of viable cells. These data indicate that the *htrA* gene of *S. mutans* UA159 is not significantly involved in these stress responses and that coping with damage induced by the tested stressors, except for heat, may not be a primary role of *S. mutans* HtrA.

**Effects of *htrA* deficiency on biofilm formation.** Since the *htrA* mutants showed a distinctive growth phenotype by forming clumps and an adhesive film in BHI liquid medium (Fig. 3B), the capacity of the *htrA* mutants for forming biofilms was compared with that of the wild-type strain. The biofilm assay was performed with BM medium supplemented with glucose or sucrose at a final concentration of 20 mM (27). On polystyrene surfaces with BM-sucrose medium, both strains formed relatively stable biofilms, although less biofilm mass was formed by the mutant strains, SAB2 and SAB2-13 (Fig. 4). Consistent with the biofilm data, the biofilms formed by the mutant in BM-sucrose medium had reduced numbers of cells compared to the wild type, confirming the results of the crystal violet staining of the biofilms (data not shown). In BM-glucose medium, the amounts of biofilm formed by strain SAB2 and by the parent were not significantly different (Fig. 4A).

Sucrose-dependent biofilm formation in *S. mutans* is medi-

ated primarily by the production of glucan polymers from sucrose by glucosyltransferase enzymes (Gtfs) and binding mediated through the Gtfs and other glucan binding proteins (52). *S. mutans* also produces fructan exopolymers from sucrose via a single secreted fructosyltransferase enzyme. To determine if the reduction in biofilm formation by the mutant was due to aberrant expression of genes encoding the exopolysaccharide synthesis machinery of *S. mutans*, expression levels of the *gtf* and *ftf* genes in UA159 and SAB2 were compared using real-time PCR. The data showed differential expression of *gtfB* and *gtfC* genes in the mutant and wild-type strains. The GtfBC enzymes are primarily responsible for production of the  $\alpha$ 1,3-linked adhesive glucans, suggesting that the reduction of biofilm formation in SAB2 may, at least in part, be due to the reduced expression of these two genes (Table 2). There was no significant difference between the levels of expression of the *gtfD* and *ftf* genes.

**Complementation of the *htrA* mutation.** To evaluate the contribution of HtrA in the different phenotypes observed in the *htrA* mutants, we integrated the *htrA* gene in a single copy, driven by its own promoter, into the chromosome of the mutant SAB2. In this case, the number of relative copies of *htrA* mRNA in SAB2C ( $1.40 \times 10^5 \pm 1.21 \times 10^4$ ) was similar to that in the wild type ( $1.27 \times 10^5 \pm 2.40 \times 10^4$ ), indicating that the levels of *htrA* mRNA in the *htrA*-complemented strain (SAB2C) were restored to those seen in the wild-type strain.

TABLE 2. Real-time PCR-based expression files of *gtfB*, *gtfC*, *gtfD*, and *fff* genes

Gene	Relative quantity of mRNA (copies/ $\mu$ l) <sup>a</sup>		Ratio <sup>b</sup>
	UA159	SAB2	
<i>gtfB</i>	1.03E+05 $\pm$ 3.00E+04	4.70E+04 $\pm$ 1.00E+04	1:0.45
<i>gtfC</i>	3.47E+06 $\pm$ 9.00E+05	2.18E+06 $\pm$ 8.00E+05	1:0.63
<i>gtfD</i>	7.52E+05 $\pm$ 4.00E+05	1.19E+06 $\pm$ 9.00E+05	N/D
<i>fff</i>	4.61E+05 $\pm$ 2.00E+05	7.52E+05 $\pm$ 4.00E+05	N/D

<sup>a</sup> Following reverse transcription from 1  $\mu$ g of total RNA from *S. mutans* strains UA159 and SAB2, the amounts of *gtf* and *fff* gene cDNA were determined by real-time PCR using SYBR green. The data represent means  $\pm$  standard deviations which were obtained from three different RNA preparation and reverse-transcription reactions. See the text for experimental procedures in detail.

<sup>b</sup> Ratio of UA159 mRNA to SAB2 mRNA. N/D indicates that there was statistically no difference ( $P < 0.01$ ; Student's *t* test).

Complementation with *htrA* restored the normal growth phenotypes and stress tolerance characteristics, as well as the capacity for forming biofilms (Fig. 5). Interestingly, when the intact *htrA* gene was provided in *trans* on a plasmid (pDL278/*htrA*<sup>+</sup>), the transformants showed severe colony morphology changes and aberrant growth characteristics (irregular and tiny) (data not shown). *S. mutans* strains that were transformed with an empty vector (pDL278) behaved identically like the parental strains (data not shown).

**HtrA and genetic competence.** The possibility that HtrA is involved in genetic competence has recently emerged through microarray studies with competent *S. pneumoniae* (39). To assess the contribution of the *S. mutans* HtrA protein in competence, the efficiencies of transformation of the *htrA* mutant

strains were evaluated by transformation with plasmid pDL278, which contains a Sp<sup>r</sup> marker (Fig. 6). The *htrA* polar mutant (SAB2) showed a significant reduction in the number of cells that could develop competence in the absence of treatment with exogenous CSP. Interestingly, the decrease in transformation efficiency in the *htrA* polar mutant appeared to be due to effects elicited both by the loss of HtrA and decreases in the expression of *spo0J*. Specifically, both the nonpolar *htrA* mutant and the *spo0J* mutant caused significant reductions in transformation efficiency, and the complementation of only *htrA* in strain SAB2 (*htrA* polar mutant) could not completely restore transformability. However, complementation of *spo0J* in SAB3 (*spo0J* polar mutant) and the complete *htrA-spo0J* locus in SAB2 resulted in the complete restoration of transformation in the absence of CSP. In all cases, the impairment in transformation of each of the mutants could be significantly reversed by the addition of CSP to the growth medium, suggesting that HtrA and Spo0J may participate in CSP processing. Alternatively, the provision of excess CSP may somehow allow the cells to compensate for the deficiency of HtrA and Spo0J, perhaps through overexpression of CSP-regulated pathways.

A linkage between competence development and HtrA was also revealed by demonstrating that exposure to CSP induced *htrA* expression, which reached a maximum after 20 min and then declined (Table 3). Given the kinetics of induction, HtrA could be involved in later steps of the transformation process. Notably, HtrA does not appear to influence the transcription of *comAB*, *comDE*, or *comX* as examined by real-time PCR of mRNA from the wild-type and SAB2 strains (data not shown).

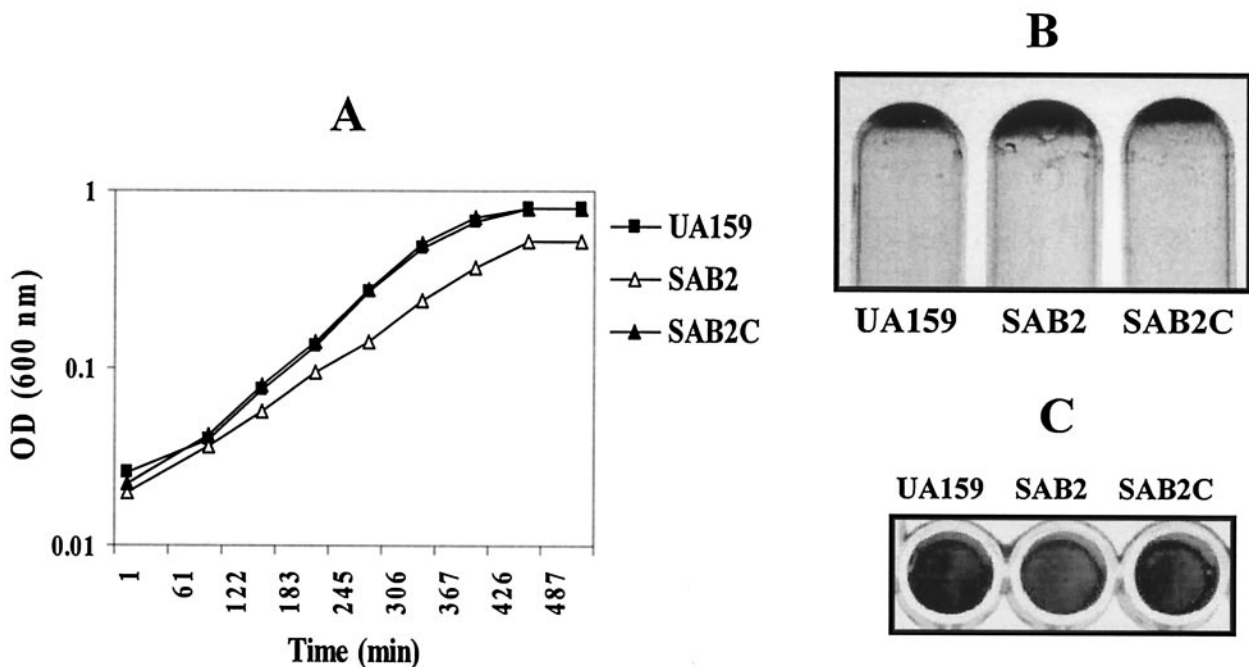


FIG. 5. Effects of complementation with *htrA* on growth phenotypes. The complemented strain (SAB2C) was constructed by integrating the entire *htrA* gene into the genome of the mutant strain (SAB2) in a single copy. SAB2C behaved almost identically like the parent strain in terms of growth rate (A), the formation of adhesive films on the bottom of glass test tubes (B), and biofilm formation on polystyrene microtiter plates in BM medium supplemented with sucrose (C). The data shown were obtained from at least three independent experiments. See the text for more details.

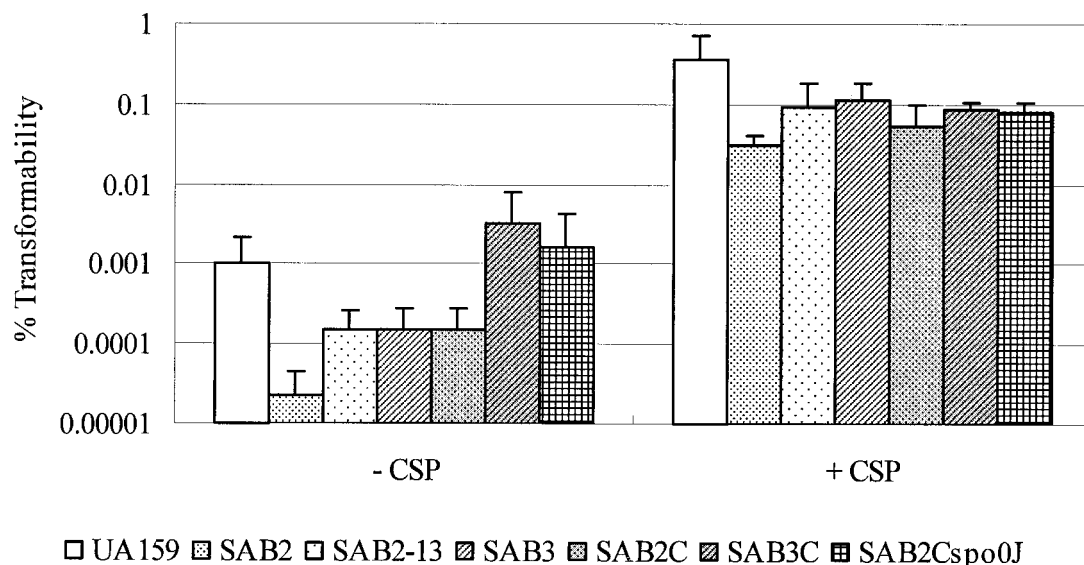


FIG. 6. Transformability of *htrA* mutants compared to UA159. The *S. mutans* strains used were UA159 (wild type), SAB2(polar *htrA* mutant), SAB2-13 (nonpolar *htrA* mutant), SAB3 (polar *spo0J* mutant), SAB2C (*htrA*-complemented strain of SAB2), SAB3C (*spo0J*-complemented strain), and SAB2Cspo0J (*htrA-spo0J*-complemented strain of SAB2). Percent transformability was determined by the ratio of the number of transformants and that of the total viable recipients, multiplied by 100. The data shown are means  $\pm$  standard deviations (error bars) of at least three independent experiments. The transformation was performed in 200- $\mu$ l culture with or without CSP (5 nmol). See the text for more details.

DISCUSSION

As was shown to be the case for *htrA* of *S. pneumoniae* (12, 47) and *S. pyogenes* (11, 28), *htrA* of *S. mutans* is near the apparent origin of chromosome replication. Immediately downstream of *htrA*, genes homologous to *spo0J* and *dnaA* were identified. DnaA boxes, which are bound by DnaA proteins to form the initiation complex for chromosome replication were identified in this region. Similar to those of *S. pneumoniae* (12) and *S. pyogenes* (28), the DnaA boxes of *S. mutans* are localized to untranslated regions upstream of *htrA* and upstream and downstream of *dnaA* (Fig. 1). The *spo0J* gene was found to encode a polypeptide of 257 amino acid residues with a high degree of similarity to a chromosome partitioning factor, which is also required for the initiation of sporulation, in *B. subtilis* (3, 19, 22, 32). In *B. subtilis*, *spo0J* is downstream and cotranscribed with the *soj* gene. Based on sequence similarities with the ParA/ParB families of proteins (16), it is suggested that Spo0J is a DNA binding protein and Soj is an ATPase and that the two proteins interact (15), possibly participating in chromosome partitioning. Since *S. mutans* is a nonsporulating bacterium, the role of the *spo0J* gene product is not clear, although our results indicate that its activity may be linked to the functions of HtrA.

Our transcriptional analysis of the *htrA-spo0J* locus revealed that the two genes are able to be individually transcribed and cotranscribed. At first, we thought that the *htrA-spo0J* cotranscript was due to incomplete termination of the *htrA* transcription, since a putative transcription terminator is found immediately behind the stop codon of *htrA* and there is 477 bp of noncoding DNA between *htrA* and *spo0J*. Also, from an analysis of the polar *htrA* mutant, *spo0J* appears to have its own promoter. However, the level of cotranscription of *htrA* and *spo0J* is similar to that of the *spo0J* transcript alone, suggesting that transcription of *spo0J* from the *htrA* promoter contributes substantively to *spo0J* expression, further supporting a functional connection between *htrA* and *spo0J*.

Disruption of *htrA* and *spo0J* resulted in significant decreases in transformation efficiency. In the absence of treatment with exogenous CSP, both the *spo0J* mutant (SAB3) and the *htrA* nonpolar mutant (SAB2-13) had significant reductions in transformability. The results with HtrA are similar to those reported for *S. pneumoniae*, for which it was shown that inactivation of *htrA* decreased transformation and complementation with *htrA* restored competence to wild-type levels (18). The deficiency of transformability in SAB2 (*htrA* polar mutant) of *S. mutans* could be completely restored only by complemen-

TABLE 3. Induction of the *htrA* gene by CSP treatment over time by using real-time PCR<sup>a</sup>

Parameter	Parameter by time after adding CSP (min)				
	0	5	10	20	30
Relative quantity of <i>htrA</i> mRNA ( $\times 10^5$ copies/ $\mu$ l)	2.03 $\pm$ 0.26	2.04 $\pm$ 0.82	2.99 $\pm$ 0.36	7.09 $\pm$ 0.44	4.05 $\pm$ 0.11
<i>n</i> -fold induction		1.0	1.5	3.5	2.0

<sup>a</sup> Early-exponential-phase culture of *S. mutans* UA159 was supplemented with CSP and then removed over time. Following reverse transcription from 1  $\mu$ g of total RNA, the amount of *htrA* gene cDNA was determined by real-time PCR using SYBR green. These are typical results representative of two independent experiments. The data represent means  $\pm$  standard deviations. See the text for more details.



tation with both the *htrA* and *spo0J* genes, suggesting that the cotranscription of *htrA-spo0J* is required for full development of the transformation process and that these two gene products may be functionally connected, at least in terms of competence development. However, with the addition of exogenous CSP, the mutant strains were not as efficiently transformed as the parent strain, even after complementation (Fig. 6). We postulate that this observation is due to the fact that the complemented strains carry *htrA-spo0J* at a locus far from the origin and there may be additional effects on *htrA-spo0J* expression when linked to the origin of replication. More recently, the effects of HtrA on competence were shown to be exerted through the *ciaHR* two-component system (17). The *spo0J* gene is not cotranscribed with *htrA* in the pneumococcus, so effects of *spo0J* on competence have not been explored. However, *S. pneumoniae spo0J* has recently been identified as a delayed CSP-induced gene that showed the same level of induction as *htrA* after treatment with CSP, implying a role for Spo0J in competence development (35). Collectively, these findings are consistent with results showing that Spo0J of *B. subtilis* is part of a regulatory network controlling the initiation of sporulation and the development of genetic competence in *B. subtilis* (15).

Treatment of *S. mutans* with CSP dramatically increases induction of competence (Fig. 6). Interestingly, after treatment with CSP, transformation efficiency of both the *htrA* and *spo0J* mutants was dramatically enhanced, albeit not to the same levels as UA159. These results are consistent with the hypothesis that HtrA and Spo0J may participate in the maturation of CSP but also point to the involvement of these genes either in the detection and signaling of CSP or in downstream events in the competence cascade. Consistent with this idea is our finding that *htrA* induction after exposure to competence peptide peaks at around 20 min, indicating that it may also have a role in late competence processes. Our results also indicate that the inactivation of *htrA* does not impact the expression of the early competence genes, such as *comAB*, *comDE*, and *comX*, as assessed by real-time PCR. Unfortunately, the expression of *comC* could not be precisely measured, since we could not obtain good primers for real-time PCR from this very small gene. Supporting a role for protease chaperones in the latter phases of competence development, a recent microarray analysis of *S. pneumoniae* (35) revealed that a diverse set of genes were induced by CSP treatment. Among them were genes encoding chaperones or proteases, such as DnaK, GroEL/GroES, ClpL, and HtrA, which were identified as delayed induced genes because transcription of these genes gradually increased during the first 15 to 17 min of CSP treatment. The roles of these chaperones or proteases in competence development are not clear at this point, but it has been speculated that they may be involved in processing or modulating the stability of proteins required for competence development. Alternatively, competence in *S. pneumoniae* was reported to trigger growth arrest (7), probably resulting from shutdown of most of the protein synthesis except for competence-associated proteins during differentiation to competence. During competence development in *Bacillus subtilis*, DNA replication and the synthesis of stable RNA are known to be arrested (9, 31, 33). Consequently, the severe physiological changes in cells due to the blockage of essential cell functions during compe-

tence development can possibly create a stress or can generate stress signals that induce stress response genes (7, 35).

The *ciaRH* two-component signaling system has been shown to regulate *htrA* expression and to impact competence, suggesting that the regulation of competence by HtrA may be exerted via the CiaRH regulation system (7, 29, 39). Recently, it was proposed that the CiaRH regulon is required to cope with the competence-induced physiological changes of cells and for normal exit from competence (7). Also, increasing expression of HtrA in a *ciaR* mutant of *S. pneumoniae* could restore genetic competence. However, the mutation of *ciaH* resulted in up-regulation of *htrA* expression by about 2 logs in our real-time PCR assay (unpublished data), suggesting that the expression and the role of *htrA* may be different for *S. mutans*. Consequently, effects on the later stages of competence of HtrA may be related to the function of the *ciaRH* system. However, for *S. mutans*, *ciaRH* was shown to affect biofilm formation and stress tolerance in ways that we did not observe with HtrA-deficient strains (36). It seems, then, that although there is some degree of cross talk between HtrA and CiaRH, the two systems can function independently of one another.

While both *htrA* and *spo0J* are required for full development of competence, the distinctive growth phenotypes appear to result from the loss of only HtrA, since *htrA* polar (SAB2) and nonpolar (SAB2-13) mutants showed the same growth characteristics and the *htrA*-complemented strain (SAB2C) completely restored the growth and stress resistance to wild-type levels. Also, no significant effects on the growth of the *spo0J* mutant (SAB3) were observed (data not shown). The *S. mutans htrA* mutant exhibited slower growth and tended to aggregate and form biofilms on glass surfaces in BHI medium, perhaps indicating that alterations in the expression or maturation of surface proteins or other components of the cell envelope occur in the mutant. The potential role of HtrA in the processing of surface proteins has been previously implicated in *S. mutans* (8) and in the proper presentation of the SpeB protease of *S. pyogenes*. Preliminary two-dimensional-gel comparisons of surface-associated proteins prepared from SAB2 and UA159 showed differences in the amounts of a variety of gene products (data not shown). A possible role of *S. mutans* HtrA, as a membrane-associated chaperone/protease, may be to influence the biogenesis of surface proteins, although the behavior of the mutant may also be a function of alterations in metabolic processes.

The *htrA* mutant exhibited reduced biofilm formation in BM medium supplemented with sucrose, but not with glucose, compared to UA159. This finding alone is surprising, since most studies with *S. mutans* to date reveal that mutations that affect biofilm formation in genes other than the *gtf* or *gpb* generally affect biofilm maturation in glucose to a much greater degree than in sucrose. Our results demonstrated that, at least in part, the reduction in biofilms in sucrose-containing media could be attributed to lower expression levels of the *gtfBC* gene. This decrease was seen at the transcriptional level, suggesting that *S. mutans* HtrA impacts sucrose-dependent biofilm formation mediated by water-insoluble glucans synthesized by glucosyltransferases GtfBC, which are primarily responsible for establishing the extracellular polysaccharide matrix, and in the adhesion and accumulation of the organisms on

surfaces (24). The levels of GtfBC enzyme were not measured in this study, and thus, it is not clear if the reduced sucrose-dependent biofilm formation of the *htrA* mutant is due solely to decreased transcription of the *gtfBC* genes. In previous work by Diaz-Torres and Russell (8), no significant differences were observed between *S. mutans* LT11 and its *htrA* mutant in measurements of extracellular proteins, including Gtf and Ftf, by using Western immunoblotting (8). There were no statistically significant differences in the expression of *gtfD*, which encodes an enzyme that catalyzes the formation of a water-soluble glucan, or *fff*, which encodes a fructosyltransferase that catalyzes the synthesis of fructans from sucrose (43). In addition, we did not observe a significant difference between the levels of expression of genes that encode extracellular proteins that may be involved in biofilm formation, such as *brpA* (50), *spaP* (2, 6), and *gfpB* (30, 45) (data not shown). However, the possibility that posttranscriptional effects of the loss of HtrA on one or all of these gene products contribute to the behavior of the mutants cannot be excluded.

Another alteration of growth phenotypes by the *htrA* mutation is the reduced thermal tolerance at 42°C. Notably, unlike other molecular chaperones and proteases in *S. mutans*, *htrA* expression was not transcriptionally regulated by heat shock, as determined by using a transcriptional *cat* fusion of the *htrA* promoter region (data not shown). Other stress responses of the *S. mutans htrA* mutant were also strikingly different from those of many gram-negative and gram-positive *htrA* null mutants, since the *S. mutans htrA* mutant did not exhibit sensitivity to H<sub>2</sub>O<sub>2</sub>, low pH, NaCl, and ethanol stresses. This is in contrast to what was reported by Diaz-Torres and Russell (8), for whom the *htrA* mutant derived from strain LT11 showed a restricted pH range of growth and also exhibited sensitivity to various H<sub>2</sub>O<sub>2</sub> concentrations. One probable reason for this is due to fact that their *htrA* mutant was constructed by single-crossover homologous recombination, which may have an impact on the phenotype because of the insertion of a large block of foreign DNA in a region needed for DNA replication. This idea is supported by the fact that the LT11 *htrA* mutant had greater growth defects, such as a slower growth rate, the formation of small and irregular colonies, and a very restricted temperature range. The mutants constructed in this study by the replacement of *htrA* with a similarly sized antibiotic resistance determinant would be less likely to have growth defects associated with disruption of the spacing of DnaA boxes. Thus, we propose that the stress-sensitive responses of the LT11 *htrA* mutant may be related to a general defect in growth. We also recognize that strain LT11 may harbor other genetic or physiologic differences from UA159, which, in turn, could affect the behavior of HtrA-deficient derivatives.

For complementation of the *htrA* mutant, the intact *htrA* gene was provided in *trans* in a single copy on the chromosome of the mutant, restoring growth, biofilm formation, and stress tolerance to wild-type levels. However, when *htrA* was provided on a plasmid, the transformants displayed abnormal growth phenotypes, suggesting that the overexpression of HtrA in *S. mutans* could affect normal cellular homeostatic mechanisms. This is clearly an interesting phenomenon, since the *htrA* mutation of other species, including *S. pneumoniae* (18) and *Listeria monocytogenes* (51), could be fully complemented by provision of the gene on a plasmid. The effect on *S. mutans*

of *htrA* gene dosage provides additional evidence that HtrA in this organism is regulated differently and may play distinctly different roles.

In summary, we demonstrated that the mutation of the *S. mutans htrA* results in multiple phenotypes, such as a slower growth, reduced thermal tolerance, altered biofilm formation, and significantly diminished transformation efficiency. The *htrA* and *spo0J* gene products appear to work both cooperatively and independently to govern homeostatic mechanisms, to coordinate competence and stress tolerance, and to modulate the expression of known virulence determinants. A more detailed analysis of the functions and interactions of HtrA and Spo0J in regulatory networks connecting the growth, stress tolerance, biofilm formation, and the competence regulon is under way.

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